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SECURITIES AND EXCHANGE COMMISSION

2016 DEC 16 PM 3:41

Washington, D.C. 20549

SEC / TM FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

SEC

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Mail Processing

Section

Part I

Initial Listing Report

DEC 16 2016

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
Chicago Stock Exchange, Inc. Washington DC
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust
- Class of New Derivative Securities Product:  
Ownership of the Trust
- Name of Underlying Instrument:  
NuShares ESG Large-Cap Value ETF 16019675
- If Underlying Instrument is an Index State Whether it is Broad-Based or Narrow-Based:  
Narrow-Based
- Ticker Symbol(s) of New Derivative Securities Product:  
NULV
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Various
- Settlement Methodology of New Derivative Securities Product:  
See Prospectus
- Position Limits of New Derivative Securities Product (if applicable):  
See Prospectus



16019675

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
Michael J. Cardin

Title: Director  
Member Regulation Department

Telephone Number:  
312-663-2204

Manual Signature of Official Responsible for Form:  
*Michael J. Cardin*

Date: December 15, 2016

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)

Public Availability: DEC 13 2016



December 15, 2016

By UPS

Claudette Ransom  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street NE – Stop 7010  
Washington, DC 20549

SEC  
Mail Processing  
Section  
DEC 16 2016  
Washington DC  
412

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**Re: Form 19b-4(e) for exchange traded product**

Dear Ms. Ransom:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

NULG	NuShares ESG Large-Cap Growth ETF
NULV	NuShares ESG Large-Cap Value ETF
NUMG	NuShares ESG Mid-Cap Growth ETF
NUMV	NuShares ESG Mid-Cap Value ETF
NUSC	NuShares ESG Small-Cap ETF

If you have any questions about this filing, please contact the undersigned at (312) 663-2204 or Kevin Curtin at (312) 663-2252.

Sincerely,

Michael J. Cardin  
Director  
Member Regulation Department

Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Title	19b-4(c)
Public Availability:	DEC 16 2016