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UNITED STATES RECEIVED SECURITIES AND EXCHANGE COMMISSION

2016 DEC 16 PM 3: 42

SEC / TM

FORM 19b-4(e)

Washington, D.C. 20549

Information Required of a Self-Regulatory Organization Listing and Trading a New

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Section		Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchan	ge Act of 1934		
Initial Listing Report Section		READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM			
1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Chicago Stock Exchange, Inc. 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Trust 3. Class of New Derivative Securities Product: Ownership of the Trust 4. Name of Underlying Instrument: NuShares ESG Small-Cap Value ETF 5. If Linderlying Instrument is an Index State Whether it is Broad-Based of Natrow-pases. Natrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: NUSC 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Various 8. Settlement Methodology of New Derivative Securities Product: See Prospectus 9. Position Limits of New Derivative Securities Product (if applicable): See Prospectus Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Michael J. Cardin Title: Director Member Regulation Department Telephone Number: 312-663-2204 Manual Signiture of Official Responsibles of Form: Section 175-4		***************************************			
Chicago Stock Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 12 Trust Class of New Derivative Securities Product: Ownership of the Trust Name of Underlying Instrument: NuShares ESG Small-Cap Value ETF Tinderlying Instrument is an Index. State Whether if is Broad-Based of Narrow-Based Ticker Symbol(s) of New Derivative Securities Product: NUSC Market or Markets Unon Which Securities Product: NUSC Market or Markets Unon Which Securities Comprising Underlying Instrument Trades: Various See Prospectus Position Limits of New Derivative Securities Product (if applicable): See Prospectus Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Michael J. Cardin Title: Director Member Regulation Department Telephone Number: 312-663-2204 Manual Signature of Official Responsible for Form: Securities Exchange Act of 1934 Date: December 15, 2016	Part I	Initial Listing Report	DEC 16 2016		
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Michael J. Cardin Title: Director Member Regulation Department Telephone Number: 312-663-2204 Manual Signature of Official Responsible for Form: Date: December 15, 2016 Section 105-6		approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing			
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December 15, 2016

By UPS

Claudette Ransom
Division of Trading and Markets
Securities and Exchange Commission
100 F Street NE – Stop 7010
Washington, DC 20549

Re: Form 19b-4(e) for exchange traded product

SEC
Mail Processing
Section
DEC 16 2016
Washington DC
412

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Dear Ms. Ransom:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

NULG	NuShares ESG Large-Cap Growth ETF	
NULV	NuShares ESG Large-Cap Value ETF	
NUMG	NuShares ESG Mid-Cap Growth ETF	
NUMV	NuShares ESG Mid-Cap Value ETF	
NUSC	NuShares ESG Small-Cap ETF	

If you have any questions about this filing, please contact the undersigned at (312) 663-2204 or Kevin Curtin at (312) 663-2252.

Sincerely,

Michael J. Cardin

Director

Member Regulation Department

Enclosures

Act Securities Eachenge Act of 1934

Section 198-9

Ento 198-9

Public Availability: DEC 1 6 2016