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UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

OMB APPROVAL
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Washington, D.C. 20549 FORM 19b-4(e)

			SEC		
	READ ALL INSTRUCTIONS PRIOR TO C	OMPLETING FORM	⁴ Mail Processing		
Part I	Initial Listing Report		Section		
			OCT 1 1 2016		
1.	Name of Self-Regulatory Organization Listing New Derivative Section	urities Product:			
· · ·	Bats EDGA Exchange, Inc.		Washington DC		
2.	Type of Issuer of New Derivative Securities Product (e.g., clearing) See Attached.	nouse, broker-dealer, o	corporation <u>E</u> tc.):		
3.	Class of New Derivative Securities Product: See Attached.				
4.	Name of Underlying Instrument: See Attached.		2		
5.	If Underlying Instrument is an Index, State Whether it is Broad-Bas See Attached.	sed or Narrow-Based:	S 20 71		
6.	Ticker Symbol(s) of New Derivative Securities Product:				
	See Attached.		<u> </u>		
7.	Market or Markets Upon Which Securities Comprising Underlying	Instrument	I R K		
	Trades: See Attached.		~ ~ ~ ``		
8.	Settlement Methodology of New Derivative Securities Product:		**		
9.	Trades Locked in at Exchange and settled at NSCC. Position Limits of New Derivative Securities Product (if applicable):				
·	Not Applicable.	<i>,</i> .	• •		
Part I	I Execution				
	The undersigned represents that the governing body of the above-reapproved, or has duly delegated its approval to the undersigned for derivative securities product according to its relevant trading rules, standards.	, the listing and tradin	g of the above-referenced nev		
Name	of Official Responsible for Form: Anders Franzon				
Title:	VP, Associate General Counsel	16019669	9		
Teleph	none Number: 913-815-7154				
	al Signature of Official Responsible for Form:	ct Securit	ಟ Lichange Act of 1934		
Manua		ection 195-6			
Date:	October 10, 2016	195-4 19b-4(e			

ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EDGA EXCHANGE, INC. COMMENCING ON SEPTEMBER 30, 2016

(6)	(2) Type of			(7) Market(s) upon Which Securities	(5) Broad
Ticker	Issuer of		(4) Name of Underlying	Comprising Underlying	or
Symbol	NDSP	(3) Class of NDSP	Instrument	Instrument Trades	Narrow
	,	Investment Company	Equity Securities of US		
FLLV	Trust	Units -	Companies	U.S.	Broad
			North and Company Company		
PSC	Trust	Investment Company Units	Nasdaq U.S. Small Cap Select Leaders Index	· U.S.	Prood
PSC	. ITUSI	Units	Leaders index	- 0.5.	Broad
VRIG	Trust	Investment Company Units	Investment-grade, variable rate or floating rate debt securities	U.S.	Broad
KOR	Trust	Investment Company Units	Equity securities listed on the Korea Exchange	World	Broad



SEC Mail Processing Section

OCT 112016

Washington DC 412

October 10, 2016

Ms. Claudette Ransom Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

RE: Form 19b-4(e) – Bats EDGA Exchange, Inc.

Ms. Ransom,

On behalf of Bats EDGA Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding four (4) derivative securities products that commenced trading on Bats EDGA Exchange on September 30, 2016. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

SVP, Associate General Counsel

913.815.7154

Act Securales Ladrage Act of 1934

Section 15b-4
Rule 19b-4(c)

Public Availability: OCT 1 1 2016