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OMB APPROVAL

091-214

OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden hours per response.....3.60

## NUV 142016 **UNITED STATES**

SECURITIES AND EXCHANGE COMMISSION DC

Washington, D.C. 20549

SEC / TM

2016 NOV 15 PM 3: 26

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

### READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

### Part I

# **Initial Listing Report**

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges)
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Corporation
- 3. Class of New Derivative Securities Product: **Exchange Traded Note**
- 4. Name of Underlying Instrument:

STOXX Europe 50 USD(Gross Return) Index

- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Broad-based**
- 6. Ticker Symbol(s) of New Derivative Securities Product: **FLEU**
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: Borsa Italiana, Deutsche Borse, Euronext Amsterdam, Euronext Brussels, Euronext Lisbon, Euronext Paris, Irish Stock Exchange, Mercado Continuo Espanol, OMX Nordic Exchange, Helsinki, OTC, Vienna
- 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled)
- 9. Position Limits of New Derivative Securities Product (if applicable): N/A

## Part II

### Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Colleen Steele

Assistant Corporate Secretary

Telephone Number: 301-978-8736

Manual Signature of Official

Date: November 09, 2016

SEC 2449 (6-01)

Acî	Securities Exchange Act of 1934
Section	195-4
Rule	19b-4(e)
Public	2040

Availability:

NOV 1 4 2016