OMB APPROVAL

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## **UNITED STATES** SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

## FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

	READ ALL INSTRUCTIONS PRIO	OR TO COMPLETING FORM	
Part I	Initial Listing Report		
1.	Name of Self-Regulatory Organization Listing New Derivative Securities Product: National Stock Exchange, Inc.		
2.	Type of Issuer of New Derivative Securities Product (e.g., Trust	clearinghouse, broker-dealer, corporation, etc.):	
3.	Class of New Derivative Securities Product: Equity	Mail Processing Section	
1.	Name of Underlying Instrument: Lattice Real Estate Strategy ETF	NOV 0.7.2016	
5.	If Underlying Instrument is an Index, State Whether it is Bi Broad-Based	Broad-Based or Narrow-Based: Washington DC	
б.	Ticker Symbol(s) of New Derivative Securities Product: RORE	716	
7.	Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  Domestic Stock Exchange		
•	Settlement Methodology of New Derivative Securities Proc Cash	duct:	
).	Position Limits of New Derivative Securities Product (if ap	pplicable):	
art II	Executio	on 16019577	
	The undersigned represents that the governing body of the a approved, or has duly delegated its approval to the undersig derivative securities product according to its relevant tradin standards.	gned for, the listing and trading of the above-referenced new	
lame o	f Official Responsible for Form: James Buckley	Securities and Exchange	
itle:	Chief Regulatory Officer	NOV 0 8 2016	
elepho	ne Number: 201-499-3698	RECEIVED	
[anual	Signature of Official Responsible for Form:	Act Securities Exchange Act of 1934	
ate: $\mathcal{L}$	November 4, 2016	Heie 19b-4(e)	
C 2449	0 (6-01)	Availability: NOV 0 7 2016	



James G. Buckley Chief Regulatory Officer t: 201. 499.3698 f: 201.499.0727 james.bucklev@nsx.com

## **VIA FED EX**

November 4, 2016

Ms. Claudette Ransom Division of Trading and Markets Securities and Exchange Commission 100 F Street N.E. Washington, DC 20549

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Re: Securities Exchange Act Forms 19b-4(e)

## Dear Ms. Ransom:

Pursuant to Rule 19b-4(e) under the Securities Exchange Act of 1934, enclosed please find one original and nine copies of completed Forms 19b-4(e), executed on behalf of the National Stock Exchange, Inc. (the "Exchange") for each of the following securities which were recently approved for trading on the Exchange:

- 1) RORE
- 2) FLCO
- 3) WSKY
- 4) IVFGC
- 5) IVFVC
- 6) IVENC
- 7) LVHB
- 8) IGVT
- 9) IFIX
- 10) UTES
- 11) EFAX
- **12) EEMW**
- 13) TCTL

RECEIVED

Securities Exchange Act of 1934 Act 1054 Section 1504(c) Raile Pub!ic 1:07 07 2016

Securities and Exchange

NOV 0 8 2016

Please contact me at (201) 499-3698 if you have any questions all ability:

Ms. Claudette Ransom November 4, 2016 Page 2

Very truly yours,

James G. Buckley Chief Regulatory Officer

**Enclosures**