

091-21475 *mu*

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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

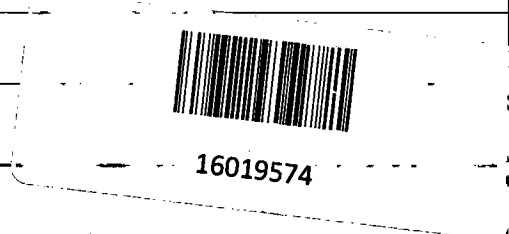
**FORM 19b-4(e)**

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

**Part I Initial Listing Report**

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
National Stock Exchange, Inc.
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust SEC
- 3. Class of New Derivative Securities Product:  
Equity Mail Processing  
Section
- 4. Name of Underlying Instrument:  
Ivy Focused Value NextShares NOV 07 2016
- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Broad-Based Washington DC  
412
- 6. Ticker Symbol(s) of New Derivative Securities Product:  
IVFVC
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Domestic Stock Exchange
- 8. Settlement Methodology of New Derivative Securities Product:  
Cash
- 9. Position Limits of New Derivative Securities Product (if applicable):



**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Securities and Exchange  
James Buckley

Title: Chief Regulatory Officer NOV 08 2016

Telephone Number: 201-499-3698 RECEIVED

Manual Signature of Official Responsible for Form:

Date: *James A Buckley*  
November 4, 2016

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public	
Availability:	NOV 07 2016



National Stock Exchange

James G. Buckley  
Chief Regulatory Officer  
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f: 201. 499.0727  
[james.buckley@nsx.com](mailto:james.buckley@nsx.com)

VIA FED EX

November 4, 2016

Ms. Claudette Ransom  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street N.E.  
Washington, DC 20549

SEC  
Mail Room  
Nov 8 2016  
Washington, DC  
20549

Re: Securities Exchange Act Forms 19b-4(e)

Dear Ms. Ransom:

Pursuant to Rule 19b-4(e) under the Securities Exchange Act of 1934, enclosed please find one original and nine copies of completed Forms 19b-4(e), executed on behalf of the National Stock Exchange, Inc. (the "Exchange") for each of the following securities which were recently approved for trading on the Exchange:

- 1) RORE
- 2) FLCO
- 3) WSKY
- 4) IVFGC
- 5) IVFVC
- 6) IVENC
- 7) LVHB
- 8) IGVV
- 9) IFIX
- 10) UTES
- 11) EFAX
- 12) EEMW
- 13) TCTL

Securities and Exchange

NOV 08 2016

**RECEIVED**

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	NOV 07 2016

Please contact me at (201) 499-3698 if you have any questions.

Ms. Claudette Ransom  
November 4, 2016  
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Very truly yours,

A handwritten signature in black ink, appearing to read "James G. Buckley", written in a cursive style.

James G. Buckley  
Chief Regulatory Officer

Enclosures