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UNITED STATES 2016 OCT 28 PM 12 25 RITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

SEC / TM .

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

| READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM | |
|--|--------------------------------------|
| Part I Initial Listing Repo | rt |
| Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges) | |
| 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, be Corporation | SEC |
| 3. Class of New Derivative Securities Product: Exchange Traded Fund | Mail Processing Section |
| 4. Name of Underlying Instrument: Spirits Index | OCT 28 ZU16 |
| If Underlying Instrument is an Index, State Whether it is Broad-Based or Not Applicable | arrow-Based: Washington DC 412 |
| 6. Ticker Symbol(s) of New Derivative Securities Product: WSKY | |
| 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: Bangkok, Borsa Italiana, Euronext Paris, Irish Stock Exchange, Johannesburg Stock Exchange, Korea Stock Exchange, London Stock Exchange, NASDAQ Stock Market LLC, NYSE, National Stock Exchange Of India, Philippine Stock Exchange, Santiago Stock Exchange, Tokyo Stock Exchange, Toronto | |
| Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled) | |
| Position Limits of New Derivative Securities Product (if applicable): N/A | |
| Part II Execution | 16019560 |
| The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has aury-approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. | |
| Name of Official Responsible for Form: Colleen Steele | |
| Title: Assistant Corporate Secretary | |
| Telephone Number: 301-978-8736 | |
| Manual Signature of Official Responsible for Form: | |
| Date October 19, 2016 | Act Securines Englange Act of 1934 |
| SEC 2449 (6-01) | Section 19b-4 Rule 19b-4(e) |
| | Public Availability: QCT 2 8 2016 |