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## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMBLAPPROVAL OMB Number: 3235-0504 Expires: July 31, 2016 Estimated average burden hours per response..... 3.60

2016 OCT 19 PM 5: 54 SEC / TM

Washington, D.C. 20549

## FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

			M SEC
	READ ALL INSTRUCTIONS PRIOR TO CON	MPLETING FOR	
Part I	Initial Listing Report		Mail Processing Section
1.	Name of Self-Regulatory Organization Listing New Derivative Securi Bats EDGA Exchange, Inc.		CCT 1 9 2016
2.	Bats EDGA Exchange, Inc. Type of Issuer of New Derivative Securities Product ( <u>e.g.</u> , clearingho See Attached.	use, broker-dealer,	corporation, etc.): 412
3.	Class of New Derivative Securities Product: See Attached.		
4.	Name of Underlying Instrument: See Attached.		
5.	If Underlying Instrument is an Index, State Whether it is Broad-Based See Attached.	l or Narrow-Based	
6.	Ticker Symbol(s) of New Derivative Securities Product: See Attached.	· · · · · · · · · · · · · · · · · · ·	
7. 8.	Market or Markets Upon Which Securities Comprising Underlying Ir Trades: See Attached. Settlement Methodology of New Derivative Securities Product:	Istrum	
	Trades Locked in at Exchange and settled at NSCC.	1	16019548
9.	Position Limits of New Derivative Securities Product (if applicable):		
	Not Applicable.	a de la companya de l	
Part II			
· ;	The undersigned represents that the governing body of the above-refe approved, or has duly delegated its approval to the undersigned for, the derivative securities product according to its relevant trading rules, p standards.	he listing and tradi	ng of the above-referenced new
Name c	of Official Responsible for Form: Anders Franzon		· · · · · · · · · · · · · · · · · · ·
Title:	VP, Associate General Counsel		
Telepho	one Number: 913-815-7154		
	l Signature of Official Responsible for Form:	Act Sec	urities Exchange Act of 1934
Date: C		Section 19 Rule 19	b-4 b-4(e)
SEC 244	49 (6-01)	Public Availability:	DCT 1 9 2016

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ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EDGA EXCHANGE, INC. COMMENCING ON OCTOBER 17, 2016

<u>(6)</u> <u>Ticker</u>	(2) Type of Issuer of		(4) Name of Underlying	(7) Market(s) upon Which Securities Comprising Underlying	<u>(5) Broad</u> <u>or</u>
<u>Symbol</u>	NDSP	(3) Class of NDSP	Instrument	Instrument Trades	Narrow
		Investment Company	Lattice Risk-Optimized Real		
RORE	Trust	Units	Estate Strategy Index	U.S.	Broad
		Investment Company	U.S. Dollar Denominated		
FLCO	Trust	Units	Corporate Debt Securities	World	Broad
		Investment Company	Spirited Funds/ETFMG		
WSKY	Trust	~ Units	Whiskey & Spirits Index	Global	Broad



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SEC Mail Processing Section OCT 192016

Washington DC 412

October 18, 2016

Ms. Claudette Ransom Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

RE: Form 19b-4(e) - Bats EDGA Exchange, Inc.

Ms. Ransom,

On behalf of Bats EDGA Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding three (3) derivative securities products that commenced trading on Bats EDGA Exchange on October 17, 2016. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon SVP, Associate General Counsel 913.815.7154

Act	Securities Exchange Act of 1934		
Section	19b-4		
Rule	19b-4(e)		
Public Availability:	OCT 1 9 2016		

Bats Global Markets, Inc. | 8050 Marshall Drive, Suite 120 | Lenexa 66214