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SEP 212016

OMB Number: 3235-0504

Expires: August 31, 2010 Estimated average burden hours per response.....3.60

2016 SEP 21 PM 2: 58 UNITED STATES
SECURITIES AND EXCHANGE COMMISSION DC
412 412

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Only

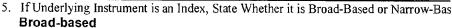
Initial Listing Report

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges)
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open End Management Investment Company
- 3. Class of New Derivative Securities Product:

Exchange Traded Fund

4. Name of Underlying Instrument:

INDXX Global Fintech Thematic Index





16019518

- 6. Ticker Symbol(s) of New Derivative Securities Product: **FINX**
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

Listed on: Australian Stock Exchange (ASX), Deutsche Borse, London Stock Exchange, NASDAQ Stock Market LLC, NYSE, OMX Nordic Exchange, Copenhagen, SWS Swiss

8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled)

9. Position Limits of New Derivative Securities Product (if applicable): N/A

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Colleen Steele

Title:

Assistant Corporate Secretary

Telephone Number 301-978-8736

Manual Signature of Of

Date: September 19, 2016

SEC 2449 (6-01)

Act Securities Exchange A	act of 1934
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Section 195-4 Rule 19b-4(e

Public

SEP 2 1 2016 Availability: