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For InterRECEIVED Only Se 2016 SEP 21 PM 2: 53 SEC / TM	Submit I Original and 9 Copies UNITED STATES	SEC V Mail Processing Section SEP 2 1 2016	OMB APPROVAL OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden
SEC71M SECURITIES AND EXCHANGE COMMISSION DC Washington, D.C. 20549 Estimated average burden hours per response3.60 FORM 19b-4(e) FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I Initial Listing Report 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open End Management Investment Company 3. Class of New Derivative Securities Product: Exchange Traded Fund			
 4. Name of Underlying Instrument: Solactive Global Silver Miners Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-based 6. Ticker Symbol(s) of New Derivative Securities Product: DULL 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: Lima Stock Exchange, Mexican Stock Exchange, Moscow, NASDAQ Stock Market LLC, NYSE, Toronto 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled) 9. Position Limits of New Derivative Securities Product (if applicable): N/A 			
approved, or has duly deleg	Execution that the governing body of the above-refe gated its approval to the undersigned for, the roduct according to its relevant trading rule rm:	e listing and trading of t	he above-referenced
Date: September 19, 2016 SEC 2449 (6-01)	BUB	Section 195- Rule 195- Public	rities Exchange Act of 1934 4 4(e) EP 2 1 2016

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