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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

SEC / TM

**FORM 19b-4(e)**

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934  
SEC

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Mail Processing  
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**Part I Initial Listing Report**

SEP 20 2016

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Washington DC  
Chicago Stock Exchange, Inc.
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust
- 3. Class of New Derivative Securities Product:  
Ownership of the Trust
- 4. Name of Underlying Instrument:  
NuShares Enhanced Yield US Aggregate Bond ETF
- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Narrow-Based
- 6. Ticker Symbol(s) of New Derivative Securities Product:  
NUAG
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Various
- 8. Settlement Methodology of New Derivative Securities Product:  
See Prospectus
- 9. Position Limits of New Derivative Securities Product (if applicable)  
See Prospectus



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**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
Michael J. Cardin

Title: Director  
Member Regulation Department

Telephone Number:  
312-663-2204

Manual Signature of Official Responsible for Form:  
*Michael J. Cardin*

Date:  
September 16, 2016

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)

Public Availability: **SEP 20 2016**

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SEP 20 2016

Washington DC  
412

September 16, 2016

**By UPS**

Claudette Ransom  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street NE – Stop 7010  
Washington, DC 20549

**Re: Form 19b-4(e) for exchange traded product**

Dear Ms. Ransom:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

NUAG	NuShares Enhanced Yield US Aggregate Bond ETF
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If you have any questions about this filing, please contact the undersigned at (312) 663-2204 or Kevin Curtin at (312) 663-2252.

Sincerely,



Michael J. Cardin  
Director  
Member Regulation Department

Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	SEP 20 2016