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For Internal Use Only Sec File No. 9- 2016 JUL 14 PILE: 30 2016 JUL 14 PILE: 30 UNITED STA 3016 JUL 14 PILE: 30 JUL 14 PILE: 30	JUL 1 4 2016 ATES GE COMMENSION DC C. 20549 412 OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden hours per response3.60
	PRIOR TO COMPLETING FORM
Part I Initial Listi	ng Report
 Name of Self-Regulatory Organization Listing New Derivative Se NASDAQ OMX PHLX LLC (traded pursuant to unlisted traded) 	
2. Type of Issuer of New Derivative Securities Product (e.g., clearing	
Open End Management Investment Company 3. Class of New Derivative Securities Product: Exchange Traded Fund	
 Name of Underlying Instrument: MSCI EM ESG Select Index 	
 If Underlying Instrument is an Index, State Whether it is Broad-Ba Broad-based 	ased or Narrow-Buscal
 Ticker Symbol(s) of New Derivative Securities Product: ESGE 	
 Market or Markets Upon Which Securities Comprising Underlying Listed on: Abu Dhabi, Athens, Bangkok, Bovespa, Buda Exchange, Johannesburg Stock Exchange, Korea Stock Ex Moscow, National Stock Exchange Of India, Philippine Stock Shanghai Stock Exchange, Shenzhen, Taiwan Stock Excha 8. Position Limits of New Derivative Securities Product (if applicabl Regular way trades settle on T + 3 (cash settled) 9. Position Limits of New Derivative Securities Product (if applicabl 	apest, Bursa Malaysia, Cairo, Colombia, Istanbul Stock Ichange, Lima Stock Exchange, Mexican Stock Exchange, Ick Exchange, Prague, Qatar, Santiago Stock Exchange, Inge, Warsaw e):
N/A	
Part II Execu	ution
The undersigned represents that the governing body of the al approved, or has duly delegated its approval to the undersign new derivative securities product according to its relevant tra- standards. Name of Official Responsible for Form: Colleen Steele	ned for, the listing and trading of the above-referenced
Title: Assistant Corporate Secretary	
Telephone Number: 301-978-8736	
Manual Signature of Official Responsible for Form	Hollos
Date: July 11, 2016	Acc Securities Exchange Act of 1934
SEC 2449 (6-01)	Section 195-4 Rule 19b-4(c)
	Public Availability: JUL 1 4 2016

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