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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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OMB APPROVAL

OMB Number: 3235-0504

Expires: August 31, 2010

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

# READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

## Part I

## Initial Listing Report

Securities and Exchange

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges) SEP 3 U 2016

- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, ECEIVED **Open End Management Investment Company**
- Class of New Derivative Securities Product:

### **Exchange Traded Fund**

4. Name of Underlying Instrument:

**Fidelity Core Dividend Index** 

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Broad-based



6. Ticker Symbol(s) of New Derivative Securities Product:

16019446

- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: Hong Kong, London Stock Exchange, NASDAQ Stock Market LLC, NYSE, OTC, Singapore Stock **Exchange, Toronto**
- 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled)
- 9. Position Limits of New Derivative Securities Product (if applicable): N/A

#### Part II

### Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

#### Colleen Steele

Title:

# **Assistant Corporate Secretary**

Telephone Number:

301-978-8736

Manual Signature of Official Responsible for Førm:

Date: September 20, 2016

Securities Exchange Act of 1934

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Public

Availability:

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