SEC

Mail Processing

Section

SEP 292016

OMB APPROVAL

OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden

SED THOUGH THE STATE OF THE STA

hours per response.....3.60

UNITED STATES ashington DC SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Submit 1 Original

and 9 Copies

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

For Internal Use

Sec File No. 9-

Only

Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges)
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

 Open End Management Investment Company
- 3. Class of New Derivative Securities Product:

Exchange Traded Fund

- 4. Name of Underlying Instrument: Fidelity Dividend Index for Rising Rates
- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

 Broad-based
- 6. Ticker Symbol(s) of New Derivative Securities Product: FDRR
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
 Listed on: Hong Kong, NASDAQ Stock Market LLC, NYSE, OMX Nordic Exchange, Copenhagen, OTC,
 Singapore Stock Exchange
- 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled)
- 9. Position Limits of New Derivative Securities Product (if applicable): N/A



16019445

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Colleen Steele

Title:

Assistant Corporate Secretary

Telephone Number:

301-978-8736

Manual Signature of Official Responsible for Form:

Date: September 20, 2016

SEC 2449 (6-01)

Act

Securities Eychange Act of 1934

Section Rule 195-4 195-4(e)

Public

Availability:

SEP 2 9 2016