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# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549
APPLICATION FOR, AND AMENDMENTS TO APPLICATION FOR,
REGISTRATION AS A NATIONAL SECURITIES EXCHANGE OR EXEMPTION FROM REGISTRATION PURSUANT TO SECTION 5 OF THE EXCHANGE ACT

Date filed (MM/DD/YY)

My Commission Expires Dec 4, 20

WARNING: Failure to keep this form current and to file accurate supplementary information on a timely basis, or the failure t-keep accurate books and records or otherwise to comply with the provisions of law applying to the conduct of the applicant would violate the federal securities laws and may result in disciplinary, administrative, or criminal action.

***	INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE CRIMINAL VIOLATIONS
	APPLICATION ICT Meets of 1/1 DAMENDMENT
1.	State the name of the applicant:
2.	Provide the applicant's primary street address (Do not use a P.O. Box):
	60 Broad Street, New York, NY 1000 GEC
_	
3.	Provide the applicant's mailing address (if different):  Mail Processing Section
4.	Provide the applicant's business telephone and facsimile number:  212-943-2400  212-5093955  412
_	(Telephone) (Facsimile)
Ο.	Provide the name, title, and telephone number of a contact employee:  Ronan (ahi   Senior 2004) and Regulatory Associate
	(Name) (Title) (Telephone Number) (A S TO
6.	Provide the name and address of counsel for the applicant:
	Michael ). Simon 2 7
	60 Brand Street
	New York, NY 10004
7.	Provide the date applicant's fiscal year ends:  December 31, 2016
<b>8</b> .	Indicate legal status of applicant:  Corporation  Sole Proprietorship  Partnership Other (specify):
	If other than a sole proprietor, indicate the date and place where applicant obtained its legal status (e.g. state where incorporated, place where partnership agreement was filed or where applicant entity was formed):
	(a) Date (MM/DD/YY): (b) State/Country of formation: LLC ACT 6. Delc section 18-101
	(c) Statute under which applicant was organized:
EX	ECUTION: The applicant consents that service of any civil action brought by , or notice of any proceeding before, the Securities and
	change Commission in connection with the applicant's activities may be given by registered or certified mail or confirmed telegram to the slicant's contact employee at the main address, or mailing address if different, given in Items 2 and 3. The undersigned, being first duly
SWC	orn, deposes and says that he/she has executed this form on behalf of , and with the authority of , said applicant. The undersigned and
app and	olicant represent that the information and statements contained herein, including exhibits, schedules, or other documents att ached hereto.  I other information filed herewith fall of which are made a part hereof are current, true, and complets.
Dat	. 10/1/- 10/0 (3/ //brada / 40-
	(My/DDM) (My/DDM) Leci (1500 Leci (1550 Cute)
Ву:	(Signature) (Printed Name and Title) (Alexandra)
Sul	oscribed and sworn before me this 11 day of OCTOBEY . 2016 by
Му	Commission expires 1214/2018 County of Manhattan State of New York Exponence
	This page must always be completed in full with original, manual signature and no arizatelary Public - State of New York
	Affix notary stamp or seal where applicable. NO. 01GN6082283



SEC Mail Processing Section

GG 122016

Washington DC 412

October 11, 2016

### VIA FEDERAL EXPRESS

Jeanette Marshall
U.S. Securities and Exchange Commission
Division of Market Regulation
Office of Market Supervision
100 F Street NE
Mail Stop 6628
Washington, DC 20549

Re:

ISE Mercury, LLC

SEC Rule 6a-2 and 6a-3 Materials

Dear Ms. Marshall:

Pursuant to Rule 6a-2(a) of the Securities Exchange Act of 1934, this is an update of the ISE Mercury, LLC's ("ISE Mercury" or "Exchange") Form 1.

In addition, pursuant to Rule 6a-3(a), within the last 10 days, ISE Mercury issued or has made available certain material to its members, participants or subscribers. The material can be found at the following locations on ISE Mercury's website:

#### **Options**

New Listings:

http://ise.com/newlistings

Delistings:

http://ise.com/delistings

Expiration Notices:

http://ise.com/expirationnotices

Series Additions/Deletions:

http://ise.com/serieslist

Market Information Circulars:

http://ise.com/mics

#### **Index Options**

Recent Index Changes:

http://ise.com/indexchanges

Index Settlement Values:

http://ise.com/indexsettlement

## Legal & Regulatory

Regulatory Information Circulars:

http://www.ise.com/rics

Rules:

http://www.ise.com/rules



## Marketing Material

Press Releases:

www.ise.com/press

Publications:

http://www.ise.com/publications

## Corporate

Board Members:

http://www.ise.com/about-ise/board-of-directors/

Constitution:

http://www.ise.com/assets/mcrcury/files/about\_ise/corporate\_governance/Constitution\_of\_ISE\_Mercury\_LLC.PDF

LLC Agreement:

http://www.ise.com/assets/mercury/files/about\_ise/corporate\_governance/Mercury\_LLC\_Agreement.pdf

Exchange Officers:

http://www.ise.com/about-ise/management-team/

#### Members

List of ISE Mercury Members

http://www.ise.com/options/membership/exchange-members/

#### **Transactional Volume**

Volume Report

http://www.ise.com/options/exchange-data/

If you have any questions on these materials, please do not hesitate to contact me at (212) 897-8152.

Ronan Cahill

Sincerely

Senior Legal & Regulatory Associate