91-21345M MB Approval No.:

For Internal Use Only FIVE Submit 1 Original OMB Approval No Sec File No. 91 and 9 Copies Expires: Estimated average burden hours per response: 2.00 UNITED STATES SECURITIES AND EXCHANGE COMMISSION SEC / TM Washington, D.C. 20549 FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I Initial Listing Report I. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NYSE Arca, Inc. (trading pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open-end Management Investment Company Mail Processing Section 3. Class of New Derivative Securities Product: Investment Company Units SEP 20 2016 4. Name of Underlying Instrument: Washington DC INDXX Global Robotics & Artificial Intelligence Thematic Index 412 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: BOTZ 16019377 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: NYSE, NASDAQ, Tokyo, SIX Swiss, Toronto, OMX Nordic Helsinki, XETRA, Euronext Paris, London, NYSE MKT 8. Settlement Methodology of New Derivative Securities Product: Regular way trades settle on T+3/Book entry only held in DTC. 9. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Martha Redding Title: Associate General Counsel and Assistant Secretary Telephone Number: (212) 656-2938 Manual Signature of Official Responsible for Form: Securities Exchange Act of 1934 19b-4 September 19, 2016 SEC 2449 (1/99) 19b-4(e) 1 -19. - 10 SEP 2 n 2016 ....ability:



## Via Overnight Mail

September 19, 2016

Ms. Gail Jackson **US Securities and Exchange Commission** Division of Trading and Markets Station Place - Building I 100 F Street, N.E. - Room 6628 Washington, DC 20549

19b-4(e) - Transmittal

Re:

RECEIVED

SEC Mail Processing Section SEP 202016

Washington DC 412

Senior Counsel ssistant Secretary

New York Stock Exchange 11 Wall Street New York, NY 10005 T + 1 212 656 2938 F + 1 212 856 8101 Martha.Redding@theice.com

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

Global X FinTech Thematic ETF (FINX) Global X Internet of Things Thematic ETF (SNSR) Global X Robotics & Artificial Intelligence Thematic ETF (BOTZ) Guggenheim BulletShares 2024 High Yield Corporate Bond ETF (BSJO) Guggenheim BulletShares 2026 Corporate Bond ETF (BSCQ) NuShares Enhanced Yield US Aggregate Bond ETF (NUAG) Fidelity Core Dividend ETF (FDVV) Fidelity Dividend ETF for Rising Rates (FDRR) Fidelity Low Volatility Factor ETF (FDLO) Fidelity Momentum Factor ETF (FDMO) Fidelity Value Factor ETF (FVAL) Fidelity Quality Factor ETF (FQAL) iShares iBonds Dec 2026 Term Corporate ETF (IBDR) JPMorgan Disciplined High Yield ETF (JPHY) FTSE Emerging Markets ETF (VWO)

If you have any questions please do not he sitate to call me at (212) 656-2938.

Sincerely,

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