091-21329

For Internal Use Only
Sec File No. 9-

Submit 1 Original
and 9 Copies

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

# FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

SEC
Mail Processing
Section
SEP 28 2016
Washington DC

| Part I | Initial Listing Report |
|---|---|

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
   Bats EDGX Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
   See Attached.

3. Class of New Derivative Securities Product:
   See Attached.

4. Name of Underlying Instrument:
   See Attached.

16019361

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
   See Attached.

6. Ticker Symbol(s) of New Derivative Securities Product:
   See Attached.

7. Market or Markets Upon Which Securities Comprising Underlying Instrument
   Trades: See Attached.

8. Settlement Methodology of New Derivative Securities Product:
   Trades Locked in at Exchange and settled at NSCC.

9. Position Limits of New Derivative Securities Product (if applicable):
   Not Applicable.

| Part II | Execution |
|---|---|

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
Anders Franzon

Title:
VP, Associate General Counsel

Telephone Number:
913-815-7154

Manual Signature of Official Responsible for Form:

Date:
September 27, 2016

Act              Securities Exchange Act of 1934
Section          19b-4
Rule             19b-4(e)
Public
Availability:    SEP 28 2016

SEC 2449 (6-01)

| (6) Ticker Symbol | (2) Type of Issuer of NDSP | (3) Class of NDSP | (4) Name of Underlying Instrument | (7) Market(s) upon Which Securities Comprising Underlying Instrument Trades | (5) Broad or Narrow |
|---|---|---|---|---|---|
| RCOM | Trust | Investment Company Units | Bonds | U.S. | Broad |
| PFV | Trust | Investment Company Units | Exchange Traded Funds | U.S. | Broad |

**Bats**

SEC
Mail Processing
Section

SEP 2 8 2016

Washington DC
412

September 27, 2016

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE:    Form 19b-4(e) – Bats EDGX Exchange, Inc.

Ms. Jackson,

On behalf of Bats EDGX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding two (2) derivative securities products that commenced trading on Bats EDGX Exchange on September 21, 2016. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon
SVP, Associate General Counsel
913.815.7154

| Act | Securities Exchange Act of 1934 |
|-----|-----|
| Section | 19b-4 |
| Rule | 19b-4(e) |
| Public Availability: | SEP 2 8 2016 |

2016 SEP 28 PH 4: 11
SEC / TM
RECEIVED