

0911-213202

For Internal Use Only	Submit 1 Original	OMB Approval No.:
Sec File No. 91 -	and 9 Copies	Expires:

Estimated average burden hours per response: 2.00

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
FORM 19b-4(e)**

**Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Part I Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

NYSE Arca, Inc. (trading pursuant to unlisted trading privileges)

SEC

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Open-end Management Investment Company

Mail Processing  
Section

SEP 28 2016

3. Class of New Derivative Securities Product:

Investment Company Units



Washington DC  
412

4. Name of Underlying Instrument:

Nasdaq US Smart Banks Index

16019352

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

Narrow-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

FTXO

Securities and Exchange  
SEP 28 2016  
RECEIVED

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

NYSE, NASDAQ, NYSE MKT

8. Settlement Methodology of New Derivative Securities Product:

Regular way trades settle on T+3/Book entry only held in DTC.

9. Position Limits of New Derivative Securities Product (if applicable):

Not applicable.

**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Martha Redding

Title:

Associate General Counsel and Assistant Secretary

Telephone Number:

(212) 656-2938

Manual Signature of Official Responsible for Form:

*Martha Redding*  
September 26, 2016

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)

SEC 2449 (1/99)

Public  
Availability: SEP 28 2016



Martha Redding  
Senior Counsel  
Assistant Secretary

New York Stock Exchange  
11 Wall Street  
New York, NY 10005  
T + 1 212 656 2938  
F + 1 212 656 9101  
Martha.Redding@theice.com

**Via Overnight Mail**

September 26, 2016

Ms. Gail Jackson  
US Securities and Exchange Commission  
Division of Trading and Markets  
Station Place – Building I  
100 F Street, N.E. – Room 6628  
Washington, DC 20549

SEC  
Mail Processing  
Section

SEP 28 2016

Washington DC  
412

Securities and Exchange  
SEP 28 2016  
RECEIVED

Re: 19b-4(e) – Transmittal

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

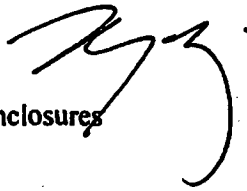
- Recon Capital USA Managed Risk ETF (USMR)
- VanEck Vectors AMT-Free 12-17 Year Municipal Index ETF (ITML)
- VanEck Vectors AMT-Free 6-8 Year Municipal Index ETF (ITMS)
- AdvisorShares Focused Equity ETF (CWS)
- First Trust Nasdaq Bank ETF (FTXO)
- First Trust Nasdaq Food & Beverage ETF (FTXG)
- First Trust Nasdaq Oil & Gas (FTXN)
- First Trust Nasdaq Pharmaceuticals ETF (FTXH)
- First Trust Nasdaq Retail ETF (FTXD)
- First Trust Nasdaq Semiconductor ETF (FTXL)
- First Trust Nasdaq Transportation ETF (FTXR)
- Amplify YieldShares Prime 5 Dividend ETF (PFV)
- Elkhorn Fundamental Commodity Strategy ETF (RCPM)
- Elkhorn DWA Commodity Rotation ETF (DWAC)
- Franklin Liberty U.S. Low Volatility ETF (FLLV)
- Principal U.S. Small Cap Index ETF (PSC)
- PowerShares Variable Rate Investment Grade Portfolio (VRIG)
- ProShares Ultra Bloomberg Crude Oil (UCO)
- VelocityShares 3x Inverse Natural Gas ETN linked to the S&P GSCI Natural Gas Index ER due February 9, 2032 (DGAZ)
- VelocityShares 3x Long Crude Oil ETN linked to the S&P GSCI Crude Oil Index ER due February 9, 2032 (UWTI)

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	SEP 28 2016

- **iPath S&P GSCI Crude Oil Total Return Index ETN (OIL)**
- **Shares of iShares Gold Trust (IAU)**
- **United States Oil Fund, L.P. (USO)**
- **PowerShares DB US Dollar Index Bullish Fund (UUP)**

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

A handwritten signature in black ink, appearing to be 'R. J.', written in a cursive style.

Enclosures