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2016 AUG 19 AM 8: 30 CURITIES AND EXCHANGE COMMISSION SEC / TM Washington, D.C. 20549 Section

FORM 19b-4(e) AUG 182016

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges)
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

 Open End Management Investment Company
- 3. Class of New Derivative Securities Product:

Exchange Traded Fund

4. Name of Underlying Instrument:

Total 3D-Printing Index

- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Broad-based**
- 6. Ticker Symbol(s) of New Derivative Securities Product:

PRNT

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
Listed on: Deutsche Borse, Euronext Amsterdam, Euronext Paris, London Stock Exchange, NASDAQ Stock

Market LLC, NYSE, OMX Nordic Exchange, Stockholm, Taiwan Stock Exchange, Tokyo Stock Exchange

Market LLC, NYSE, OMX Nordic Exchange, Stockholm, Taiwan Stock Exchange, Tokyo Stock Exchange

- 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled)
- Position Limits of New Derivative Securities Product (if applicable): N/A

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Colleen Steele

Title:

Assistant Corporate Secretary

Telephone Number:

301-978-8736

Manual Signature of Official Res

16019348

Date: August 01, 2018

SEC 2449 (6-01)

Act Securities Exchange Act of 1934

Section 195-4 Rule 19b-4(e)

Public

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