

091-211058

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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

SEC / TM

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

SEC

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM
Mail Processing Section

AUG 10 2016

Part I Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
National Stock Exchange, Inc. Washington DC
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Trust
- Class of New Derivative Securities Product:
Equity
- Name of Underlying Instrument:
FlexShares STOXX US ESG Impact Index Fund
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Broad-Based
- Ticker Symbol(s) of New Derivative Securities Product:
ESG
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
Domestic Stock Exchange
- Settlement Methodology of New Derivative Securities Product:
Cash
- Position Limits of New Derivative Securities Product (if applicable):
16019322



Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
James Buckley

Title: Chief Regulatory Officer

Telephone Number:
201-499-3698

Manual Signature of Official Responsible for Form:

Date: *James Buckley*
August 8, 2016

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(c)
Public Availability:	AUG 10 2016



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James G. Buckley
Chief Regulatory Officer
t: 201.499.3698
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james.buckley@nrx.com

VIA FED EX

August 8, 2016

Ms. Gail Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street N.E.
Washington, DC 20549

SEC
Mail Processing
Section
AUG 10 2016
Washington DC
412

Re: Securities Exchange Act Forms 19b-4(e)

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) under the Securities Exchange Act of 1934, enclosed please find one original and nine copies of completed Forms 19b-4(e), executed on behalf of the National Stock Exchange, Inc. (the "Exchange") for each of the following securities which were recently approved for trading on the Exchange:

- 1) SCAP
- 2) KRMA
- 3) EYLD
- 4) ESG
- 5) ESGG
- 6) DWLV
- 7) REML
- 8) LSVX
- 9) BSWN
- 10) XIVH
- 11) IGEM
- 12) PRNT
- 13) WBIR
- 14) MELT
- 15) EUFL
- 16) LVHI
- 17) TRSK
- 18) SPXH

Act	Securities Exchange Act of 1934
Section	4
Rule	19b-4(e)
Public Availability:	AUG 10 2016

Ms. Gail Jackson
August 8, 2016
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Please contact me at (201) 499-3698 if you have any questions.

Very truly yours,

A handwritten signature in black ink, appearing to read "James G. Buckley". The signature is fluid and cursive, with a large initial "J" and "B".

James G. Buckley
Chief Regulatory Officer

Enclosures