

091-21093

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**OMB APPROVAL**  
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**2016 AUG 10 PM 12:02**  
**SEC / TM**

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**  
Washington, D.C. 20549

**FORM 19b-4(e)**

**Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**SEC**

**Part I**

**Initial Listing Report**

**Mail Processing  
Section**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
National Stock Exchange, Inc.

**AUG 10 2016**

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, partnership, Trust)

**Washington DC  
412**

3. Class of New Derivative Securities Product:  
Equity

4. Name of Underlying Instrument:  
Legg Mason International Low Volatility High Dividend ETF

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:  
LVHI

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Domestic Stock Exchange

8. Settlement Methodology of New Derivative Securities Product:  
Cash



9. Position Limits of New Derivative Securities Product (if applicable):

**16019310**

**Part II**

**Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
James Buckley

Title:  
Chief Regulatory Officer

Telephone Number:  
201-499-3698

Manual Signature of Official Responsible for Form:

Date: *James Buckley*  
August 8, 2016

**Act** Securities Exchange Act of 1934  
**Section** 19b-4  
**Rule** 19b-4(e)  
**Public Availability:** **AUG 10 2016**



National Stock Exchange

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2016 AUG 10 PM 12:00

SEC / TM

James G. Buckley  
Chief Regulatory Officer  
t: 201.499.3698  
f: 201.499.0727  
[james.buckley@nsx.com](mailto:james.buckley@nsx.com)

VIA FED EX

August 8, 2016

Ms. Gail Jackson  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street N.E.  
Washington, DC 20549

SEC  
Mail Processing  
Section  
AUG 10 2016  
Washington DC  
412

Re: Securities Exchange Act Forms 19b-4(e)

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) under the Securities Exchange Act of 1934, enclosed please find one original and nine copies of completed Forms 19b-4(e), executed on behalf of the National Stock Exchange, Inc. (the "Exchange") for each of the following securities which were recently approved for trading on the Exchange:

- 1) SCAP
- 2) KRMA
- 3) EYLD
- 4) ESG
- 5) ESGG
- 6) DWLV
- 7) REML
- 8) LSVX
- 9) BSWN
- 10) XIVH
- 11) IGEM
- 12) PRNT
- 13) WBIR
- 14) MELT
- 15) EUFL
- 16) LVHI
- 17) TRSK
- 18) SPXH

Act	Securities Exchange Act of 1934
Section	4
Rule	19b-4(e)
Public Availability:	AUG 10 2016

Ms. Gail Jackson  
August 8, 2016  
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Please contact me at (201) 499-3698 if you have any questions.

Very truly yours,

A handwritten signature in black ink, appearing to read "James G. Buckley". The signature is written in a cursive style with a large, sweeping initial "J".

James G. Buckley  
Chief Regulatory Officer

Enclosures