M911- 21088. OMB APPROVAL For Internal Use Only Submit 1 Original OMB Number: 3235-0504 and 9 Copies Sec File No. 9-Expires: August 31, 2013 UNITED STATES Estimated average burden hours per response. . . . . 3.60 SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 SEC Mail Processing **READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM** Section Part I **Initial Listing Report** AUG 1 1 2016 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Washington DC Chicago Stock Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. Trust 3. Class of New Derivative Securities Product: Ownership of the Trust AUG I 2016 RECEIVE 4. Name of Underlying Instrument C-Tracks ETNs on the Miller/Howard MLP Fundamental Index, Series B. Due July 13, 2026 5. Narrow-Based Licker Symbol(s) of New Derivative Securities Produc 6. MLPE 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Various Settlement Methodology of New Derivative Securities Product: 8. See Prospectus 9. Position Limits of New Derivative Securities Product (if applicable): See Prospectus 16019305 Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Michael J. Cardin Title: Director Member Regulation Department Telephone Number: 312-663-2204 Manual Signature of Official Responsible for Rorm: Securities Elighonge Act of 1934 and Date: Rule August 10, 2016 19b-4(e) Public Availability: AUG 1 1 2016 SEC 2449 (6-01)



SEC Mail Processing Section AUG 112016

Washington DC 412

August 10, 2016

## By UPS

Claudette Ransom Division of Trading and Markets Securities and Exchange Commission 100 F Street NE – Stop 7010 Washington, DC 20549

Securities and Exchange AUG 1 2016 RECEIVED

## **Re:** Form 19b-4(e) for exchange traded product

Dear Ms. Ransom:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

MLPE C-Tracks ETNs on the Miller/Howard MLP Fundamental Index, Series B, Due July 13, 2026

If you have any questions about this filing, please contact the undersigned at (312) 663-2204 or Kevin Curtin at (312) 663-2252.

Sincerely, ardin T

Director Member Regulation Department

Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	AUG 1 1 2016

Chicago Stock Exchange, Inc. One Financial Place 440 S LaSalle Street, Suite 800 Chicago, Illinois 60605-1070 312-663-2222