| | | 091-21315m |
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| or Internal Use Only | Submit 1 Original | OMB Approval No.: |
| ec File No. 91 - | and 9 Copies | Expires: |
| | | Estimated average burden hours per response: 2.00 |
| | UNITED STATES SECURITIES AND EXCHANGE Washington, D.C. 205 FORM 19b-4(e) | COMMISSION 49 |
| Derivative Securitie | Required of a Self-Regulatory Organiz s Product Pursuant to Rule 19b-4(e) Un AD ALL INSTRUCTIONS PRIOR TO | der the Securities Exchange Act of 1934 |
| Part I | Initial Listing Repor | |
| | on Listing New Derivative Securities Produ | |
| | nt to unlisted trading privileges) | SEC |
| | | Mail Processing |
| | curities Product (e.g., clearinghouse, broke | er-dealer, corporation, etc.): |
| Open-end Management Investme | | SEP 282016 |
| 3. Class of New Derivative Securities I | Product: | Washington DC |
| Investment Company Units | | 412 |
| 4. Name of Underlying Instrument: | 16019 | 227 |
| Nasdaq US Smart Semiconducto | r Index | Sec. |
| 5. If Underlying Instrument is an Index | , State Whether it is Broad-Based or Narro | ow-Based: |
| Narrow-Based | | and R. |
| | $\gamma \cdot \gamma$, $\gamma = \gamma \cdot \gamma \cdot \gamma \cdot \gamma$, $\gamma = \gamma \cdot \gamma \cdot \gamma \cdot \gamma \cdot \gamma$, $\gamma = \gamma \cdot \gamma \cdot \gamma \cdot \gamma \cdot \gamma$, $\gamma = \gamma \cdot \gamma \cdot \gamma \cdot \gamma \cdot \gamma \cdot \gamma$, $\gamma = \gamma \cdot \gamma \cdot \gamma \cdot \gamma \cdot \gamma \cdot \gamma$, $\gamma = \gamma \cdot \gamma \cdot \gamma \cdot \gamma \cdot \gamma \cdot \gamma$, $\gamma = \gamma \cdot \gamma \cdot \gamma \cdot \gamma \cdot \gamma \cdot \gamma \cdot \gamma$, $\gamma = \gamma \cdot $ | CA SACL I |
| 6. Ticker Symbol(s) of New Derivative | e Securities Product: | Stp 20 Achange |
| | e Securities Product: | SEP 28 Change |
| FTXL 7. Market or Markets Upon Which Sec | e Securities Product: surities Comprising Underlying Instrument | Trades: |
| FTXL 7. Market or Markets Upon Which Sec | | w-Based: / Securities and Exchange RECEIVED |
| FTXL 7. Market or Markets Upon Which Sec NYSE, NASDAQ, NYSE MKT 8. Settlement Methodology of New De | curities Comprising Underlying Instrument | Trades: |
| FTXL 7. Market or Markets Upon Which Sec NYSE, NASDAQ, NYSE MKT 8. Settlement Methodology of New De | curities Comprising Underlying Instrument | Trades: |
| FTXL 7. Market or Markets Upon Which Sec NYSE, NASDAQ, NYSE MKT 8. Settlement Methodology of New De Regular way trades settle on T+: | curities Comprising Underlying Instrument crivative Securities Product: 3/Book entry only held in DTC. | Trades: |
| FTXL 7. Market or Markets Upon Which Sec NYSE, NASDAQ, NYSE MKT 8. Settlement Methodology of New De Regular way trades settle on T+: 9. Position Limits of New Derivative S | curities Comprising Underlying Instrument crivative Securities Product: 3/Book entry only held in DTC. | Trades: |
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| FTXL 7. Market or Markets Upon Which Sec NYSE, NASDAQ, NYSE MKT 8. Settlement Methodology of New De Regular way trades settle on T+: 9. Position Limits of New Derivative S Not applicable. Part II | curities Comprising Underlying Instrument erivative Securities Product: B/Book entry only held in DTC. Securities Product (if applicable): Execution | |
| FTXL 7. Market or Markets Upon Which Sec NYSE, NASDAQ, NYSE MKT 8. Settlement Methodology of New De Regular way trades settle on T+: 9. Position Limits of New Derivative S Not applicable. Part II The undersigned represents that the ge delegated its approval to the undersign | curities Comprising Underlying Instrument erivative Securities Product: B/Book entry only held in DTC. Securities Product (if applicable): Execution | |
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NYSE

Martha Redding Senior Counsel Assistant Secretary

New York Stock Exchange 11 Wall Street New York, NY 10005 T + 1 212 658 2938 F + 1 212 656 8101 Martha.Redding@theice.com

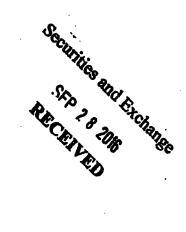
<u>Via Overnight Mail</u>

September 26, 2016

Ms. Gail Jackson US Securities and Exchange Commission Division of Trading and Markets Station Place – Building I 100 F Street, N.E. – Room 6628 Washington, DC 20549 SEC Mail Processing Section

SEP 282016

Washington DC 412



Re: 19b-4(e) – Transmittal

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

- Recon Capital USA Managed Risk ETF (USMR)
- VanEck Vectors AMT-Free 12-17 Year Municipal Index ETF (ITML)
- VanEck Vectors AMT-Free 6-8 Year Municipal Index ETF (ITMS)
- AdvisorShares Focused Equity ETF (CWS)
- First Trust Nasdaq Bank ETF (FTXO)
- First Trust Nasdaq Food & Beverage ETF (FTXG)
- First Trust Nasdaq Oil & Gas (FTXN)
- First Trust Nasdaq Pharmaceuticals ETF (FTXH)
- First Trust Nasdaq Retail ETF (FTXD)
- First Trust Nasdag Semiconductor ETF (FTXL)
- First Trust Nasdag Transportation ETF (FTXR)
- Amplify YieldShares Prime 5 Dividend ETF (PFV)
- Elkhorn Fundamental Commodity Strategy ETF (RC)
- Elkhorn DWA Commodity Rotation ETF (DWAC)
- Franklin Liberty U.S. Low Volatility ETF (FLLV)
- Principal U.S. Small Cap Index ETF (PSC)
- PowerShares Variable Rate Investment Grade Portfalio (VRIG)
- ProShares Ultra Bloomberg Crude Oil (UCO)
- VelocityShares 3x Inverse Natural Gas ETN linked to the S&P GSCI Natural Gas Index ER due February 9, 2032 (DGAZ)
- VelocityShares 3x Long Crude Oil ETN linked to the S&P GSCI Crude Oil Index ER due February 9, 2032 (UWTI)

| Act | Securities Exchange Act of 1934 |
|-------------------------|---------------------------------|
| Section | 19h-4 |
| Rille | 196-4(c) |
| Public Availability: | SEP 2 8 2016 |

- iPath S&P GSCI Crude Oil Total Return Index ETN (OIL)
- Shares of iShares Gold Trust (IAU)
- United States Oil Fund, L.P. (USO)
- PowerShares DB US Dollar Index Bullish Fund (UUP)

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

Enclosures