For Internal Use Only Submit 1 Original OMB Approval No and 9 Copies Sec File No. 91 -Estimated average burden hours per response: 2.00 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NYSE MKT LLC (trading pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse; broker-dealer, corporation, etc.): Trust. SEC Mail Processing 3. Class of New Derivative Securities Product: Trust Issued Receipt Section SEP 2 a 2016 16019219 4. Name of Underlying Instrument: Bloomberg WTI Crude Oil Subindex Washington DC 412 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 6. Ticker Symbol(s) of New Derivative Securities Product: 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: NYMEX 8. Settlement Methodology of New Derivative Securities Product: Regular way trades settle on T+3/Book entry only held in DTC. 9. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Martha Redding Title: Associate General Counsel and Assistant Secretary Telephone Number: (212) 656-2938 Manual Signature of Official Responsible for Form: Securities Exchange Act of 1934 September 26, 2016 SEC 2449 (1/99) 196-4 Section 19b-4(e) Rule Public SEP 28 2016 Availability:



Martha Redding Senior Counsel Assistant Secretary

New York Stock Exchange 11 Wall Street New York, NY 10005 T+ 1 212 656 2938 F+1 212 658 8101 Martha. Redding@theice.com

Via Overnight Mail

September 26, 2016

Ms. Gail Jackson
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building 1
100 F Street, N.E. – Room 6628
Washington, DC 20549

Re: 19b-4(e) - Transmittal

SEC Mail Processing Section

SEP 282016

Washington DC 412



Securities Exchange Act of 1934

(9h-4 19b-4(e)

SEP 28 2016

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

- Recon Capital USA Managed Risk ETF (USMR)
- VanEck Vectors AMT-Free 12-17 Year Municipal Index ETF (ITML)
- VanEck Vectors AMT-Free 6-8 Year Municipal Index ETF (ITMS)
- AdvisorShares Focused Equity ETF (CWS)
- First Trust Nasdag Bank ETF (FTXO)
- First Trust Nasdaq Food & Beverage ETF (FTXG)
- First Trust Nasdaq Oil & Gas (FTXN)
- First Trust Nasdaq Pharmaceuticals ETF (FTXH)
- First Trust Nasdaq Retail ETF (FTXD)
- First Trust Nasdaq Semiconductor ETF (FTXL)
- First Trust Nasdag Transportation ETF (FTXR)
- Amplify YieldShares Prime 5 Dividend ETF (PFV)
- Elkhorn Fundamental Commodity Strategy ETF (Rection)
- Elkhorn DWA Commodity Rotation ETF (DWAC)
- Franklin Liberty U.S. Low Volatility ETF (FLLV)
- Transmit Liberty Cost Low Volatility Ext. (1.1
- Principal U.S. Small Cap Index ETF (PSC)
- PowerShares Variable Rate Investment Grade Portfolio (VRIG)
- ProShares Ultra Bloomberg Crude Oil (UCO)
- VelocityShares 3x Inverse Natural Gas ETN linked to the S&P GSCI Natural Gas Index ER due February 9, 2032 (DGAZ)

Act

Public

Availability:

 VelocityShares 3x Long Crude Oil ETN linked to the S&P GSCI Crude Oil Index ER due February 9, 2032 (UWTI)

- iPath S&P GSCI Crude Oil Total Return Index ETN (OIL)
- Shares of iShares Gold Trust (IAU)
- United States Oil Fund, L.P. (USO)
- PowerShares DB US Dollar Index Bullish Fund (UUP)

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

Enclosures