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OMB Number:	3235-0504
Expires:	August 31, 2019
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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
National Stock Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust

3. Class of New Derivative Securities Product:  
Equity



16019183

SEC  
Mail Processing  
Section  
SEP 12 2016

4. Name of Underlying Instrument:  
Principal Millennials Index ETF

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Broad-Based

Washington DC

6. Ticker Symbol(s) of New Derivative Securities Product:  
GENY

412

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Domestic Stock Exchange

8. Settlement Methodology of New Derivative Securities Product:  
Cash

9. Position Limits of New Derivative Securities Product (if applicable):

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
James Buckley

Title:  
Chief Regulatory Officer

Telephone Number:  
201-499-3698

Manual Signature of Official Responsible for Form:

Date:  
September 6, 2016

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	SEP 12 2016



National Stock Exchange

SEC  
Mail Processing  
Section

SEP 12 2016

Washington DC  
412

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Chief Regulatory Officer  
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[james.buckley@nrx.com](mailto:james.buckley@nrx.com)

VIA FED EX

September 6, 2016

Ms. Gail Jackson  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street N.E.  
Washington, DC 20549

Securities and Exchange

SEP 12 2016

RECEIVED

Re: Securities Exchange Act Forms 19b-4(e)

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) under the Securities Exchange Act of 1934, enclosed please find one original and nine copies of completed Forms 19b-4(e), executed on behalf of the National Stock Exchange, Inc. (the "Exchange") for each of the following securities which were recently approved for trading on the Exchange:

- 1) WTRX
- 2) MLPE
- 3) EUFS
- 4) PMPT
- 5) BTEC
- 6) GENY
- 7) HUSV
- 8) HDMV

Please contact me at (201) 499-3698 if you have any questions.

Very truly yours,

James G. Buckley  
Chief Regulatory Officer

Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	SEP 12 2016