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UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

SEC
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Section

FORM 19b-4(e)

SEP 07 2016

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934
Washington DC
412

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
Investors Exchange LLC

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse,
See Attachment A

3. Class of New Derivative Securities Product:
See Attachment A

4. Name of Underlying Instrument:
See Attachment A



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5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See Attachment A

6. Ticker Symbol(s) of New Derivative Securities Product:
See Attachment A

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
See Attachment A

8. Settlement Methodology of New Derivative Securities Product:
Regular way trades settle on T+3 (cash settled)

9. Position Limits of New Derivative Securities Product (if applicable):
Not applicable

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
Claudia Crowley

Title:
Chief Regulatory Officer

Telephone Number:
646-343-2041

Manual Signature of Official Responsible for Form:

Claudia Crowley

Date:
August 30, 2016

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)

Public
Availability: SEP 06 2016

Attachment A to IEX Form 19b-4(e)

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
YINN	Fund	Index Fund Share	FTSE China 50 Index	Global	Broad