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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

			SEC	
	READ ALL INSTRUCTIONS PRIOR TO	COMPLETING FORM	Mail Processing	
	Initial Listing Report		Section	
Part I			SEP 0.6.2016	
1.	Name of Self-Regulatory Organization Listing New Derivative	Securities Product:		
	Bats EDGX Exchange, Inc.		Washington DC	
2.	Type of Issuer of New Derivative Securities Product (<u>e.g.</u> , clear See Attached.	inghouse, broker-dealer, co	rporation,4et2):	
3.	Class of New Derivative Securities Product:	······································		
	See Attached.		8	
4.	Name of Underlying Instrument:	•	5	
	See Attached.		S A A	
5.	If Underlying Instrument is an Index, State Whether it is Broad See Attached.	-Based or Narrow-Based:	CEI	
б.	Ticker Symbol(s) of New Derivative Securities Product:		TAN	
	See Attached.	· · · · · · · · · · · · · · · · · · ·	JNO	
7.	Market or Markets Upon Which Securities Comprising Underly	ing Instrument		
	Trades: See Attached.		0	
8.	Settlement Methodology of New Derivative Securities Product:			
	Trades Locked in at Exchange and settled at NSCC.			
9.	Position Limits of New Derivative Securities Product (if applic	able):		
·	Not Applicable.		A 2 LIN ov al al 2 Guin (l'Antri 1 a dec al d	
Part II	Execution		16019165	
-	The undersigned represents that the governing body of the abov approved, or has duly delegated its approval to the undersigned derivative securities product according to its relevant trading ru standards.	for, the listing and trading	of the above-referenced ne	w
Name	of Official Responsible for Form: Anders Franzon			
Title:	VP, Associate General Counsel			
Teleph	one Number: 913-815-7154		- <u></u>	
Manua	Il Signature of Official Responsible for Form:	Act Securities]	Enderinge Act of 1934	
Date:		Rule 19b-4(e)		
	September 2, 2016	Public		
L	· · · · · · · · · · · · · · · · · · ·	Availability: SLP U	5 2016	┢
SEC 24	49 (6-01)			

ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EDGX EXCHANGE, INC. COMMENCING ON AUGUST 29, 2016

<u>(6)</u> <u>Ticker</u> Symbol	(2) Type of Issuer of NDSP	(3) Class of NDSP	(4) Name of Underlying Instrument	(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades	<u>(5) Broad</u> <u>or</u> <u>Narrów</u>
	Ŧ	Investment Company			
HUSV	Trust	Units Investment Company	Common Stock	U.S.	Broad
HDMV	Trust	Units	Common Stock	World	Broad

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SEC Mail Processing Section SEP 0.6 2016

Washington DC 412

September 2, 2016

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

RE: Form 19b-4(e) – Bats EDGX Exchange, Inc.

Ms. Jackson,

On behalf of Bats EDGX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding two (2) derivative securities products that commenced trading on Bats EDGX Exchange on August 29, 2016. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon SVP, Associate General Counsel 913.815.7154

Act	Securities Enchange Act of 1934
Section	195-4
Rule	19b-4(c)
Public Availability:	SEP 0 6 2016

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