For Internal Use Section Submit 1 Original OMB APPROVAL Only and 9 Copies SEP 06 2016 OMB Number: 3235-0504 Sec File No. Expires: August 31, 2010 **UNITED STATES** Washington DEstimated average burden SECURITIES AND EXCHANGE COMMISSION hours per response.....3.60 412 Washington, D.C. 20549 FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open End Management Investment Company Class of New Derivative Securities Product: **Exchange Traded Fund** 4. Name of Underlying Instrument: Actively Managed Portfolio of Securities 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Not Applicable 6. Ticker Symbol(s) of New Derivative Securities Product: **HDMV** 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: Australian Stock Exchange (ASX), Borsa Italiana, Deutsche Borse, Euronext Amsterdam, Euronext Brussels, Euronext Lisbon, Euronext Paris, Hong Kong, Irish Stock Exchange, Luxembourg, Mercado Continuo Espanol, New Zealand, OMX Nordic Exchange, Copenhagen, OMX Nordic Exchange, Helsinki, OMX Nordic Exchange, Stockholm, Oslo Stock Exchange, Singapore Stock Exchange, Tel Aviv Stock Exchange, Tokyo Stock Exchange, Toronto, Vienna 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled) 9. Position Limits of New Derivative Securities Product (if applicable): N/A Execution 16019156 Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has dury approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing Name of Official Responsible for Form: Colleen Steele **Assistant Corporate Secretary** Telephone Number: 301-978-8736 Manual Signature of Official Responsib Securities Exchange Act of 1934 Act Date: September 02, 2016 195-4 Section Rule 19b-4(e) SEC 2449 (6-01) Public SEP 0 6 2016 Availability:

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