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OMB APPROVAL

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2016 SEP -7 AM 8: 29 UNITED STATES
SECURITIES AND EXCHANGE COMMISSIONS OF A12 Washington, D.C. 20549 412

SEC / TM

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges)
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open End Management Investment Company**
- 3. Class of New Derivative Securities Product:

Exchange Traded Fund

4. Name of Underlying Instrument:

Nasdaq Global Millennial Opportunity Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

Broad-based

- 6. Ticker Symbol(s) of New Derivative Securities Product: **GENY**
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

Listed on: Borsa Italiana, Deutsche Borse, Euronext Paris, Hong Kong, Johannesburg Stock Exchange, London Stock Exchange, Mercado Continuo Espanol, NASDAQ Stock Market LLC, NYSE, OMX Nordic Exchange, Stockholm

- 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled)
- 9. Position Limits of New Derivative Securities Product (if applicable): N/A



Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has dury approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing

Name of Official Responsible for Form:

Colleen Steele

Title:

Assistant Corporate Secretary

Telephone Number:

301-978-8736

Manual Signature of Official Responsible for

Securities Exchange Act of 1934

Date: September 02, 2016

Section

Rule 19b-4(e)

Public

Act

Availability:

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