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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
Washington DC  
412

OMB APPROVAL  
OMB Number: 3235-0504  
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FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges)

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Open End Management Investment Company

3. Class of New Derivative Securities Product:  
Exchange Traded Fund

4. Name of Underlying Instrument:  
Principal Healthcare Innovators Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Narrow-based

6. Ticker Symbol(s) of New Derivative Securities Product:  
BTEC

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Listed on: NASDAQ Stock Market LLC, NYSE

8. Position Limits of New Derivative Securities Product (if applicable):  
Regular way trades settle on T + 3 (cash settled)

9. Position Limits of New Derivative Securities Product (if applicable):  
N/A

Barcode  
16019153

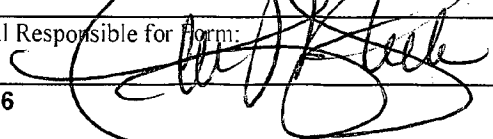
Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
Colleen Steele

Title:  
Assistant Corporate Secretary

Telephone Number:  
301-978-8736

Manual Signature of Official Responsible for Form:  


Date: September 02, 2016

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	SEP 06 2016