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OMB APPROVAL

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION DC Washington, D.C. 20549 Vashington DC 412

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: The NASDAQ Stock Market LLC (traded pursuant to unlisted trading privileges)
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open End Management Investment Company
- Class of New Derivative Securities Product:

Exchange Traded Fund

4. Name of Underlying Instrument:

Actively Managed Portfolio of Securities

- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Not Applicable
- 6. Ticker Symbol(s) of New Derivative Securities Product:
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

Listed on: Australian Stock Exchange (ASX), Borsa Italiana, Deutsche Borse, Euronext Amsterdam, Euronext Brussels, Euronext Lisbon, Euronext Paris, Hong Kong, Irish Stock Exchange, Luxembourg, Mercado Continuo Espanol, New Zealand, OMX Nordic Exchange, Copenhagen, OMX Nordic Exchange, Helsinki, OMX Nordic Exchange, Stockholm, Oslo Stock Exchange, Singapore Stock Exchange, Tel Aviv Stock Exchange, Tokyo Stock Exchange, Toronto, Vienna

- 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled)
- 9. Position Limits of New Derivative Securities Product (if applicable): N/A



Part II

Execution

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The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization in the self-regulatory organizatio approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Colleen Steele

Assistant Corporate Secretary

Telephone Number:

301-978-8736

Manual Signature of Official Resolution

Date: September 02, 2016

Act

Securities Exchange Act of 1934

Section Rule

195-4 19h-0/6

SEP 0.6 2016

Public

Availability:

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