

091-21225

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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0504
Expires: August 31, 2013
Estimated average burden
hours per response. 3.60

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

SEC

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Mail Processing

Part I

Initial Listing Report

Section

AUG 26 2016

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
Chicago Stock Exchange, Inc. Washington DC
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Trust
- Class of New Derivative Securities Product:
Ownership of the Trust
- Name of Underlying Instrument:
Principal Healthcare Innovators Index ETF
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Narrow-Based
- Ticker Symbol(s) of New Derivative Securities Product:
BTEC
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
Various
- Settlement Methodology of New Derivative Securities Product:
See Prospectus
- Position Limits of New Derivative Securities Product (if applicable):
See Prospectus



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Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
Michael J. Cardin
Title: Director
Member Regulation Department
Telephone Number: 312-663-2204
Securities and Exchange
MAY 26 2016

Manual Signature of Official Responsible for Form:
Michael J. Cardin
Date: August 24, 2016

RECEIVED
Act
Securities Exchange Act of 1934
Section
Rule 19b-4(e)
Public
Availability: AUG 26 2016



CHICAGO
STOCK
EXCHANGE

SEC
Mail Processing
Section

AUG 26 2016

Washington DC
412

August 24, 2016

By UPS

Claudette Ransom
Division of Trading and Markets
Securities and Exchange Commission
100 F Street NE - Stop 7010
Washington, DC 20549

Securities and Exchange

MAY 26 2016

Re: Form 19b-4(e) for exchange traded product

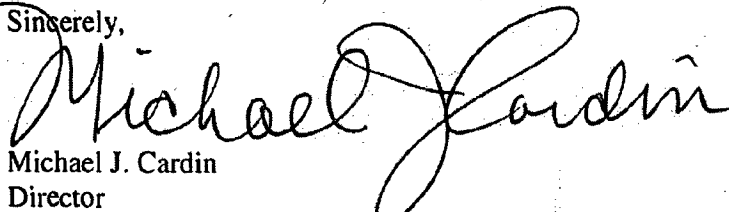
Dear Ms. Ransom:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

BTEC	Principal Healthcare Innovators Index ETF
GENY	Principal Millennials Index ETF

If you have any questions about this filing, please contact the undersigned at (312) 663-2204 or Kevin Curtin at (312) 663-2252.

Sincerely,


 Michael J. Cardin
 Director
 Member Regulation Department

Securities and Exchange

MAY 26 2016

RECEIVED

Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	AUG 26 2016