

091-21224jm

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OMB Number: 3235-0504
Expires: August 31, 2013
Estimated average burden
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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

SEC
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Section

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

AUG 26 2016

Part I Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product: Washington DC
 412
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
- Class of New Derivative Securities Product:
- Name of Underlying Instrument:
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
- Ticker Symbol(s) of New Derivative Securities Product:
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
- Settlement Methodology of New Derivative Securities Product:
- Position Limits of New Derivative Securities Product (if applicable):



16019135

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
Title:

Telephone Number:

Manual Signature of Official Responsible for Form:
Date:

Act
Section
Rule



SEC
Mail Processing
Section
AUG 26 2016
Washington DC
412

August 24, 2016

By UPS

Claudette Ransom
Division of Trading and Markets
Securities and Exchange Commission
100 F Street NE - Stop 7010
Washington, DC 20549

Securities and Exchange

AUG 26 2016

Re: Form 19b-4(e) for exchange traded product

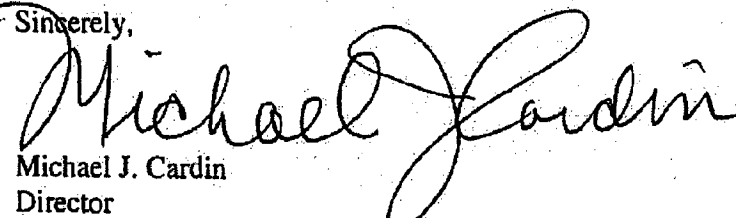
Dear Ms. Ransom:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

BTEC	Principal Healthcare Innovators Index ETF
GENY	Principal Millennials Index ETF

If you have any questions about this filing, please contact the undersigned at (312) 663-2204 or Kevin Curtin at (312) 663-2252.

Sincerely,


Michael J. Cardin
Director
Member Regulation Department

Securities and Exchange

MAY 26 2016

RECEIVED

Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	AUG 26 2016