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## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 SEC

<b>READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM</b>	Mail Processing

Part I	Initial Listing Re	port	Section SEP 2 7 2016		
1.	Name of Salf Begulatory Organization Listing New Designative Securities Product:				
	Chicago Stock Exchange, Inc.	· · · · · · · · · · · · · · · · · · ·	Washington DC		
2.	Type of Issuer of New Derivative Securities Product (e.g., cle	aringhouse, brol	cer-dealer, corporation, etc.): <sup>72</sup>		
	Trust	······································			
3.	Class of New Derivative Securities Product: Ownership of the Trust				
4.	Name of Underlying Instrument:				
	Principal U.S. Small Cap Index ETF			: 	
5.	If Underlying Instrument is an Index, State Whether it is Broa	d-Based or Narr	ow-Based:	1	
	Narrow-Based		is zi	Ĺ	
6.	Ticker Symbolisi of New Derivative Securities Product:				
	PSC		6 2 H		
7.	Market or Markets Upon Which Securities Comprising Under	lying Instrumen	t Trades:		
	Various		<u></u>		
8.	Settlement Methodology of New Derivative Securities Product:			<b>-</b> 1	
	See Prospectus				
9.	9. Position Limits of New Derivative Securities Product (if applicable):   See Prospectus		ili di kana kata dan kana kana kana	••	
				[	
Part II Executi			A REAL OF THE REAL WAR OF REAL AND REAL		
I alt II	Execution		16019131		
The undersigned represents that the governing body of the above-referenced Sen-Negatimer, Seattle approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.					
	of Official Responsible for Form: Michael J. Cardin				
Title:	Title: Director				
Member Regulation Department					
Teleph	one Number: 312-663-2204		······································		
Manua	I Signature of Official Responsible for Form:	Âct	Securities Exchange Act of 1934		
Date:	September 26, 2016	Section Rule	19b-4 19b-4		
L			<u>195 4(c)</u>		
SEC 244	9 (6-01)	Public Availability:	SEP 27 2016		

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September 26, 2016

**By UPS** 

Claudette Ransom Division of Trading and Markets Securities and Exchange Commission 100 F Street NE – Stop 7010 Washington, DC 20549 SEC Mail Processing Section SEP 272016 Washington DC 412

## **Re:** Form 19b-4(e) for exchange traded product

Dear Ms. Ransom:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

CHICAGO

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2016 SEP 27 PM 1:46

SEC / TM

PSC Principal U.S. Small Cap Index ETF

If you have any questions about this filing, please contact the undersigned at (312) 663-2204 or Kevin Curtin at (312) 663-2252.

Sincerely, ardin Michael J. Cardin

Michael J. Cardin Director Member Regulation Department

Enclosures

Chicago Stock Exchange, Inc. One Financial Place 440 S LaSalle Street, Suite 800 Chicago, Illinois 60605-1070 312-663-2222