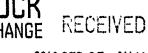
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	UNITED STATES	Estimated average burden		
2016 SEP 27 Alt HCi20 TIES AND EXCHANGE COMMISSION hours per response 3.60				
SEC / TM Washington, D.C. 20549				
FORM 19b-4(e)				
Information Required of a Self-Regulatory Organization Listing and Trading a New				
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934C				
READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Section				
Part I	Initial Listing Report	SEP 26 2016		
1.	Name of Self-Regulatory Organization Listing New Derivative Securities Proc	luct: Washington DC		
	Chicago Stock Exchange, Inc.	412		
2.	Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, brok	cer-dealer, corporation, etc.):		
	Trust			
3.	Class of New Derivative Securities Product: Ownership of the Trust			
4.	Name of Underlying Instrument:			
	First Trust Nasdaq Transportation ETF			
5.	If Underlying Instrument is an Index, State Whether it is Broad-Based or Narr	ow-Based:		
	Narrow-Based			
6.	Ticker Symbol(s) of New Derivative Securities Product:	· · ·		
7.	Market or Markets Upon Which Securities Comprising Underlying Instrumen	t Trades:		
8.				
0.	Settlement Methodology of New Derivative Securities Product: See Prospectus			
9.	Position Limits of New Derivative Securities Product (if applicable):			
9.	See Prospectus	16019123		
		10015		
Part II Execution				
	The undersigned represents that the governing body of the above-referenced S approved, or has duly delegated its approval to the undersigned for, the listing derivative securities product according to its relevant trading rules, procedure standards.	g and trading of the above-referenced new		
Name	of Official Responsible for Form:			
Michael J. Cardin				
Title: Director Member Regulation Department				
Telephone Number: 312-663-2204				
Manual Signature of Official Responsible for Form: Manual Signature of Official Responsible for Form: Securities Englishinge Act of 1934				
Date:	Section Section	1>3-4		
	September 22, 2016	19b-4(c)		
Public				
SEC 244	49 (6-01) Availability	SEP 2 6 2016		





2016 SEP 27 AM 11: 19

SEC / TM

Mail Processing Section SEP 26 2016

September 22, 2016

By UPS

Claudette Ransom Division of Trading and Markets Securities and Exchange Commission 100 F Street NE – Stop 7010 Washington, DC 20549 Washington DC 412

Re: Form 19b-4(e) for exchange traded product

Dear Ms. Ransom:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

FTXO	First Trust Nasdaq Bank ETF
FTXG	First Trust Nasdaq Food & Beverage ETF
FTXN	First Trust Nasdaq Oil & Gas ETF
FTXH	First Trust Nasdaq Pharmaceuticals ETF
FTXD	First Trust Nasdaq Retail ETF
FTXL	First Trust Nasdaq Semiconductor ETF
FTXR	First Trust Nasdaq Transportation ETF

If you have any questions about this filing, please contact the undersigned at (312) 663-2204 or Kevin Curtin at (312) 663-2252.

Sincerely,

Sincercity,	1024
	Act Securities Exchange Act of 1934
I whal and	Section 19b-4
	Rule 19b-4(e)
	Public Availability: SEP 2 6 2016
Member Regulation Department	Availability: SEP 2 0 2010

Enclosures

Chicago Stock Exchange, Inc. One Financial Place 440 S LaSalle Street, Suite 800 Chicago, Illinois 60605-1070 312-663-2222