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FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New

Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 SEC Mail Processing READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Section Part I **Initial Listing Report** SEP 26 2016 Name of Self-Regulatory Organization Listing New Derivative Securities Product: Washington DC Chicago Stock Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 412 2. 3. Class of New Derivative Securities Product: Ownership of the Trust Name of Underlying Instrument: 4. 16019108 Elkhorn DWA Commodity Rotation ETF 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Narrow-Based Licker Symbolis) of New Derivative Securities Product 6. **DWAC** 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Various Settlement Methodology of New Derivative Securities Product: 8. See Prospectus 9. Position Limits of New Derivative Securities Product (if applicable): See Prospectus Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Michael J. Cardin Title: Director Member Regulation Department Telephone Number: 312-663-2204 Manual Signature of Official Responsible for Fo Securities Exchange Act of 1934 Date: Section 196-4 September 23, 2016 Rule 19h-4(e) Public



SEC Mail Processing Section SEP 26 2016

Washington DC 412

September 23, 2016

By UPS

Claudette Ransom
Division of Trading and Markets
Securities and Exchange Commission
100 F Street NE – Stop 7010
Washington, DC 20549

Re: Form 19b-4(e) for exchange traded product

Dear Ms. Ransom:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

DWAC Elkhorn DWA Commodity Rotation ETF

If you have any questions about this filing, please contact the undersigned at (312) 663-2204 or Kevin Curtin at (312) 663-2252.

Sincerely,

Michael J. Cardin

Director

Member Regulation Department

Enclosures

Act Securities Exchange Act of 1934

Section 19b-4
Rule 19b-4(e)

Public Availability: SEP 2 6 2016