

For Internal Use Only  
Sec File No. 9-

Submit 1 Original  
and 9 Copies

SEC  
Mail Processing

091-2120184

Section

SEP 22 2016

OMB APPROVAL	
OMB Number:	3235-0504
Expires:	July 31, 2016
Estimated average burden hours per response:	3.60

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
412

### FORM 19b-4(e)


Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

#### Part I Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
Bats EDGX Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
See Attached.
- Class of New Derivative Securities Product:  
See Attached.
- Name of Underlying Instrument:  
See Attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
See Attached.
- Ticker Symbol(s) of New Derivative Securities Product:  
See Attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument  
Trades: See Attached.
- Settlement Methodology of New Derivative Securities Product:  
Trades Locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):  
Not Applicable.

RECEIVED  
2016 SEP 22 PM 2:38  
SEC/TM



16019104

#### Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: VP, Associate General Counsel

Telephone Number: 913-815-7154

Manual Signature of Official Responsible for Form:

Date: September 21, 2016



Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public	
Availability:	SEP 22 2016

ATTACHMENT TO FORM 19b-4(e)  
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EDGX EXCHANGE, INC.  
 COMMENCING ON SEPTEMBER 15, 2016

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
JPHY	Trust	Investment Company Units	Bonds and other Debt Securities	World	Broad



RECEIVED

2016 SEP 22 PM 2:38

SEC / TM

SEC  
Mail Processing  
Section

SEP 22 2016

Washington DC  
412

September 21, 2016

Ms. Gail Jackson  
Mail Stop 6628  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

RE: Form 19b-4(e) - Bats EDGX Exchange, Inc.

Ms. Jackson,

On behalf of Bats EDGX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding one (1) derivative securities product that commenced trading on Bats EDGX Exchange on September 15, 2016. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon  
SVP, Associate General Counsel  
913.815.7154

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	SEP 22 2016