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### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0504 Expires: July 31, 20 Estimated average burden July 31, 2016

hours per response. . . . . 3.60

## FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New

		READ ALL IN	STRUCTIONS PRIOR	TO COMPLETI	NG FORM	SEC	
art I			Initial Listing R	eport		Processing Section	
•	Bats BZX Exchang	e, Inc.	on Listing New Derivativ			26 2016	
·• .	Type of Issuer of See Attached.	New Derivative Se	curities Product-(e-acle	aringhouse, brok	er-dealer, como IIIII	amagen DC 112	
•	Class of New Der See Attached.	ivative Securities I	Product:				
•	Name of Underly See Attached.			16019103			
	See Attached.		x, State Whether it is Bro	ad-Based or Narro	ow-Based:		
i.	See Attached.		Securities Product:	· · · · · · · · · · · · · · · · · · ·		2016	1
	Trades: See Attacl	ned.	urities Comprising Unde			REC SEP 2	- W
	Trades Locked in a	at Exchange and sett		· 			
).	Position Limits o Not Applicable.	f New Derivative S	Securities Product (if appl	icable):		<u>م</u> ہے۔	Ψž,
Part II			Execution		tain e	**************************************	
	approved, or has	duly delegated its	governing body of the ab approval to the undersign ing to its relevant trading	ed for, the listing	and trading of t	he above-referenced	
Vame o	of Official Respons	sible for Form:	Anders Franzon				
Title:	SVP, Associate Gen	eral Counsel					
		-815-7154					:
Manua	l Signature of Offi	cial Responsible fo	or Form:	Act	~	change Act of 1934	
Date:	September 23, 2016			Rule	190-4 19b-4(e)	midican and a second a second and a second a	
1	***			Public Availability:	SEP 26	2040	

# ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS BZX EXCHANGE, INC. COMMENCING ON SEPTEMBER 19, 2016

					(7) Market(s) upon	
	<u>(6)</u>	(2) Type of			Which Securities	(5) Broad
	Ticker	Issuer of		(4) Name of Underlying	Comprising Underlying	or
	Symbol	NDSP	(3) Class of NDSP	Instrument	Instrument Trades	Narrow
Ī						
٠						
			Investment Company	INDXX Global Fintech		
	FINX	Trust	Units	Thematic Index	World	Broad
			Investment Company	INDXX Global Internet of		
	SNSR	Trust	Units	Things Thematic Index	World	Broad
	5. 1 4 4 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	** .				S 1
•		Section 1		INDXX Global Robotics &		
			Investment Company	Artificial Intelligence Thematic		
	вотг	Trust	Units	Index	World	Broad
İ	•			NASDAQ BulletShares USD		1 1
-			Investment Company	High Yield Corporate Bond		
	BSJO	Trust	Units	2024 Index	U.S.	Broad
						,
1	A		Investment Company	NASDAQ BulletShares USD		
	BSCQ	Trust	Units	Corporate Bond 2026 Index	U.S.	Broad
	DOCQ	, must	Office		0.0.	Bioda
				Exchange listed common		
				stocks, preferred stocks, warrant, rights, U.S. and non-		
				U.S. real estate investment		
				trusts, depositary receipts,		
				cash and cash equivalents,		
		* , *		corporate debt, futures		
				contracts, over-the-counter		
				and exchange-traded call and		
				put options, non-exchange-		
				traded investment company		
	er ingla			securities, ETFs, swaps,		
				forward currency transactions,		
			The state of the s	U.S. Government obligations		
				and U.S. government-		
		_	Investment Company	sponsored mortgage-backed	141 14	
	JPHF	Trust	Units	securities	World	Broad
		_	Investment Company	BofA Merrill Lynch US Broad		
. ;	NUAG	Trust	Units	Market Index	U.S.	Broad
			Investment Company			_
	FDVV	Trust	Units	Fidelity Core Dividend Index	World	Broad

ATTACHMENT TO FORM 19b-4(e)
FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS BZX EXCHANGE, INC.
COMMENCING ON SEPTEMBER 19, 2016

(6) Ticker Symbol	(2) Type of Issuer of NDSP	(3) Class of NDSP	(4) Name of Underlying Instrument	(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades	(5) Broad or Narrow
FDRR	Trust	Investment Company Units	Fidelity Dividend Index	U.S.	Broad
FDLO	Trust	Investment Company Units	Fidelity U.S. Low Volatility Factor Index	U.S.	Broad
FDMO	Trust	Investment Company Units	Fidelity U.S. Momentum Factor Index	U.S.	Broad
FVAL	Trust	Investment Company Units	Fidelity U.S. Value Factor Index	U.S.	Broad
FQAL	Trust	Investment Company Units	Fidelity U.S. Quality Factor Index	U.S.	Broad



## RECEIVED

2016 SEP 26 PH 12: 31 SEC / TM

SEC Mail Processing Section SEP. 26 2016 Washington DC

412

September 23, 2016

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

Form 19b-4(e) - Bats BZX Exchange, Inc.

Ms. Jackson,

RE:

On behalf of Bats BZX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding thirteen (13) derivative securities products that commenced trading on Bats BZX Exchange on September 19, 2016. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

SVP, Associate General Counsel

913.815.7154

Act	Securities Exchange Act of 1934		
Section	19b-4		
Rule	19b-4(e)		
Public Availability:	SEP 2 6 2016		