

091-21070

For Internal Use Only
Sec File No. 9-

Submit 1 Original
and 9 Copies

| | |
|---|---------------|
| OMB APPROVAL | |
| OMB Number: | 3235-0504 |
| Expires: | July 31, 2016 |
| Estimated average burden hours per response: | 3.60 |

RECEIVED
2016 JUL 28 PM 4:06
SEC / TM

UNITED STATES
 SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
 Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934


SEC

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Mail Processing

Part I

Initial Listing Report

Section
JUL 28 2016

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
Bats BYX Exchange, Inc. Washington DC
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
See Attached. 112
- Class of New Derivative Securities Product:
See Attached. 
- Name of Underlying Instrument:
See Attached. 16019097
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See Attached.
- Ticker Symbol(s) of New Derivative Securities Product:
See Attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument
Trades: See Attached.
- Settlement Methodology of New Derivative Securities Product:
Trades Locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):
Not Applicable.

Part II

Execution

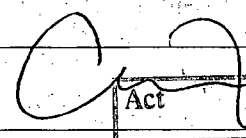
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: SVP, Associate General Counsel

Telephone Number: 913-815-7154

Manual Signature of Official Responsible for Form:


 Act Securities Exchange Act of 1934
 Section 19b-4
 Rule 19b-4(e)
 Public Availability: JUL 28 2016

Date: July 27, 2016

ATTACHMENT TO FORM 19b-4(e)
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS BYX EXCHANGE, INC.
 COMMENCING ON JULY 19, 2016

| <u>(6) Ticker Symbol</u> | <u>(2) Type of Issuer of NDSP</u> | <u>(3) Class of NDSP</u> | <u>(4) Name of Underlying Instrument</u> | <u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u> | <u>(5) Broad or Narrow</u> |
|----------------------------------|---|-----------------------------|--|--|------------------------------------|
| PRNT | Trust | Investment Company Units | Total 3D-Printing Index | World | Broad |



RECEIVED

2016 JUL 28 PM 4:04

SEC / TM

SEC
Mail Processing
Section

JUL 28 2016

Washington DC
412

July 27, 2016

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) - Bats BYX Exchange, Inc.

Ms. Jackson,

On behalf of Bats BYX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding one (1) derivative securities product that commenced trading on Bats BYX Exchange on July 19, 2016. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon
SVP, Associate General Counsel
913.815.7154

| | |
|----------------------|---------------------------------|
| Act | Securities Exchange Act of 1934 |
| Section | 19b-4 |
| Rule | 19b-4(e) |
| Public Availability: | JUL 28 2016 |