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2016 JUL 21 PH 2: 45 **UNITED STATES** 

SECURITIES AND EXCHANGE COMMISSION DC Washington, D.C. 20549

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OMB APPROVAL

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FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

## Part I

## **Initial Listing Report**

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges)
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open End Management Investment Company
- Class of New Derivative Securities Product:

Exchange Traded Fund

Name of Underlying Instrument:

Cambria Emerging Shareholder Yield Index



- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based Broad-based
- Ticker Symbol(s) of New Derivative Securities Product: **EYLD**
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

Listed on: Athens, Bangkok, Bovespa, Budapest, Colombia, Istanbul Stock Exchange, Johannesburg Stock Exchange, Korea Stock Exchange, Mexican Stock Exchange, Moscow, National Stock Exchange Of India, Prague, Shanghai Stock Exchange, Shenzhen, Taiwan Stock Exchange, Warsaw

- 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled)
- 9. Position Limits of New Derivative Securities Product (if applicable): N/A

Part II

## Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Colleen Steele

Title:

**Assistant Corporate Secretary** 

Telephone Number: 301-978-8736

Manual Signature of Official

Date: July 18, 201

Act

Securities Exchange Act of 1934

Section Rule

195-4 190-61

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