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2016 SEP 27 PM 1: 43 Washington D. C. Co.

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FORM 19b-4(e)

OMB Number: 3235-0504

Expires: July 31, 2016 Estimated average burden hours per response. . . . 3.60

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

	READ ALL INSTRUCTIONS PRIOR TO COMPLETING F	ORM SEC
Part I	Initial Listing Report	Mail Processing Section
1.	Name of Self-Regulatory Organization Listing New Derivative Securities Product: Bats BYX Exchange, Inc.	SEP 272016
2.	Type of Issuer of New Derivative Securities Product ( <u>e.g.</u> , clearinghouse, broker-dea See Attached.	ler, Wastatiografic DC
3.	Class of New Derivative Securities Product: See Attached.	
4.	Name of Underlying Instrument: See Attached.	
5.	If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Ba See Attached.	sed:
6.	Ticker Symbol(s) of New Derivative Securities Product: See Attached.	
7. ; .	Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: See Attached.	16019076
8.	Settlement Methodology of New Derivative Securities Product:  Trades Locked in at Exchange and settled at NSCC.	
9.	Position Limits of New Derivative Securities Product (if applicable):	
: .	Not Applicable.	
Part I	Execution	
	The undersigned represents that the governing body of the above-referenced Self-Re	
	approved, or has duly delegated its approval to the undersigned for, the listing and to derivative securities product according to its relevant trading rules, procedures, surv standards.	rading of the above-referenced new
Name	approved, or has duly delegated its approval to the undersigned for, the listing and to derivative securities product according to its relevant trading rules, procedures, surv	rading of the above-referenced new
	approved, or has duly delegated its approval to the undersigned for, the listing and to derivative securities product according to its relevant trading rules, procedures, surv standards.  of Official Responsible for Form:	rading of the above-referenced new
Title:	approved, or has duly delegated its approval to the undersigned for, the listing and to derivative securities product according to its relevant trading rules, procedures, survistandards.  of Official Responsible for Form:  Anders Franzon	rading of the above-referenced new reillance programs and listing
Title:	approved, or has duly delegated its approval to the undersigned for, the listing and to derivative securities product according to its relevant trading rules, procedures, survistandards.  of Official Responsible for Form:  Anders Franzon  SVP, Associate General Counsel	rading of the above-referenced new reillance programs and listing

## ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS BYX EXCHANGE, INC. COMMENCING ON SEPTEMBER 20, 2016

(6) Ticker	(2) Type of Issuer of	(a) Olean of MDOD	(4) Name of Underlying	(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades	(5) Broad or Narrow
Symbol	<u>NDSP</u>	(3) Class of NDSP	<u>Instrument</u>	monument frades	<u>INATIOW</u>
			Barclays AMT-Free 12-17 Year		
		Investment Company	Intermediate Continuous		٠
ITML	Trust	Units	Municipal Index	U.S.	Broad
			Barclays AMT-Free 6-8 Year		
		Investment Company	Intermediate Continuous		
ITMS	Trust	Units	Municipal Index	U.S.	Broad



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## 2016 SEP 27 PH 1: 42 SEC / TM

SEC
Mail Processing
Section
SEP 272016

September 26, 2016

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549 Washington DC 412

RE: Form 19b-4(e) – Bats BYX Exchange, Inc.

Ms. Jackson,

On behalf of Bats BYX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding two (2) derivative securities products that commenced trading on Bats BYX Exchange on September 20, 2016. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

SVP, Associate General Counsel

913.815.7154

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	SEP 2 7 2016