Form 1 Page 1 Execution Page

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 APPLICATION FOR, AND AMENDMENTS TO APPLICATION FOR, REGISTRATION AS A NATIONAL SECURITIES EXCHANGE OR EXEMPTION

Date filed (MM/DD/YY):

Qualified in New York County My Commission Expires 1/16

OFFICIAL USF

16.	ARANING: Epilure to keep this form oursest and to file accurate ourselementary information on a timely basis of the failure to			
kε	ARNING: Failure to keep this form current and to file accurate supplementary information on a timely basis, or the failure to seep accurate books and records or otherwise to comply with the provisions of law applying to the conduct of the applicant ould violate the federal securities laws and may result in disciplinary, administrative, or criminal action.  INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE CRIMINAL VIOLATIONS			
	DAPPLICATION ISE GEMUN, LLC DAMENDMENT	7		
1.	State the name of the applicant:			Ĭ
2.	Provide the applicant's primary street address (Do not use a P.O. Box): 60 Brood Street			<u>=</u>
	26" Floor New York. NY 10004 Mon Promoting			
3.	Provide the applicant's mailing address (if different):			
	. 7016			ļ
4.	Provide the applicant's business telephone and facsimile number:  12 943 - 2400 212 - 509 - 3955		÷ '~	AMI.
	(Telephone) (Facsimile)	Ē	~	1
5.	Provide the name title, and telephone number of a contact employee.  Ronan Cahill Senior Legal and Regulatory Associate 212-897-8152	THE BUILDING & MARKETS	2016	/EL
	(Name) (Title) (Telephone Number)	署		
6.	Provide the name and address of counsel for the applicant:    Micha e   Simon			
	Indicate legal status of applicant:   Corporation  Sole Proprietorship  Description  Other (specify):			
	If other than a sole proprietor, indicate the date and place where applicant obtained its legal status (e.g. state where incorporated, place where partnership agreement was filed or where applicant entity was formed):  (a) Date (MM/DD/YY):  (b) State/Country of formation:  (c) Statute under which applicant was organized:			
EXE	ECUTION: The applicant consents that service of any civil action brought by , or notice of any proceeding before, the Securities and		- 1	
xch ppli wor ppli	nange Commission in connection with the applicant's activities may be given by registered or certified mail or confirmed telegram to the icant's contact employee at the main address, or mailing address if different, given in Items 2 and 3. The undersigned, being first duly in, deposes and says that he/she has executed this form on behalf of , and with the authority of , said applicant. The undersigned and icant represent that the information and statements contained herein, including exhibits, schedules, or other documents att ached hereto, other information filed berewith, all of which are made a part hereof are current, true, and complete.			
ate y:	(MM/DD/M) Con an (Name of applicant) Logal Association			
	(Signature) Scribed and sworn before me this day of May . 20/6 by Printed Name and Title Joseph Lead	an	2	
	commission expires //16/19 County of New State of New Sta			,
	This page must always be completed in full with original, manual signature and notarization SEPH W FERRAR.  Affix notary stamp or seal where applicable.  NO 02FF6082165	) w York		) )

ECURITIES AND EXCHANGE COMMISSION



May 16, 2016

VIA FEDERAL EXPRESS

Chris Grobbel
U.S. Securities and Exchange Commission
Division of Market Regulation
Office of Market Supervision
100 F Street NE
Mail Stop 6628
Washington, DC 20549

Re:

ISE Gemini, LLC

SEC Rule 6a-2 and 6a-3 Materials

Dear Mr. Grobbel:

Pursuant to Rule 6a-2(a) of the Securities Exchange Act of 1934, this is an update of the ISE Gemini, LLC's ("ISE Gemini" or "Exchange") Form 1.

In addition, pursuant to Rule 6a-3(a), within the last 10 days, ISE Gemini issued or has made available certain material to its members, participants or subscribers. The material can be found at the following locations on ISE Gemini's website:

## **Options**

New Listings:

http://ise.com/newlistings

Delistings:

http://isc.com/delistings

Expiration Notices:

http://ise.com/expirationnotices

Series Additions/Deletions:

http://ise.com/serieslist

Market Information Circulars:

http://ise.com/mics

## **Index Options**

Recent Index Changes:

http://ise.com/indexchanges

Index Settlement Values:

http://ise.com/indexsettlement

## Legal & Regulatory

Regulatory Information Circulars:

http://www.ise.com/rics

Rules:

http://www.ise.com/rules

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#### Marketing Material

Press Releases:

www.ise.com/press

Publications:

http://www.ise.com/publications

# Corporate

Board Members:

http://www.ise.com/about-ise/board-of-directors/

Constitution:

http://www.ise.com/media/92473/Constitution-of-Topaz-Exchange-31-Jul-13-name-change-to-ISE-Gemini-.pdf

LLC Agreement:

http://www.ise.com/media/103279/Executed-Second-Amended-and-Restated-LLC-Agreement-18-Dec-2014-.pdf

Exchange Officers:

http://www.ise.com/about-ise/management-team/

#### Members

List of ISE Members

http://www.ise.com/options/membership/exchange-members/

# **Transactional Volume**

Volume Report

http://www.ise.com/options/exchange-data/

If you have any questions on these materials, please do not hesitate to contact me at (212) 897-8152.

Sincerely,

Ronan Cahill

Senior Legal & Regulatory Associate