

Form 1 Page 1 Execution Page

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
APPLICATION FOR, AND AMENDMENTS TO APPLICATION FOR,
REGISTRATION AS A NATIONAL SECURITIES EXCHANGE OR EXEMPTION FROM REGISTRATION PURSUANT TO SECTION 5 OF THE EXCHANGE ACT

Date filed (MM/DD/YY): OFFICIAL USE ONLY

| ke  | ARNING: Failure to keep this form current and to file accurate supplementary information on a timely basis, or the failure to ep accurate books and records or otherwise to comply with the provisions of law applying to the conduct of the applicant  |   |
|---|---|---|
| WC  | ould violate the federal securities laws and may result in disciplinary, administrative, or criminal action.  INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE CRIMINAL VIOLATIONS  |   |
| <b> </b>                                      | T APPLICATION PAMENDMENT  |   |
| 1.  | State the name of the applicant:  |   |
| 2.  | Provide the applicant's primary street address (Do not use a P.O. Box):   |   |
| 2.  | 60 Broad Street , New York, NY 10004  |   |
| 3.  | SEC SEC   |   |
| 4.  | Provide the applicant's business telephone and facsimile number:  212 - 943 - 2400 212 509395 Swasnington 0   |   |
|   | (Telephone) (Facsimile) 412   |   |
| 5.  | Provide the name, title, and telephone number of a contact employee:  Ronan (ahill Senior 2004) and Regulatory Hissociate   | SEC   |
|   | (Name) (Title) (Tele) (Tele) (Tele) (Tele) (Tele)   | LING.                                       |
| 6.  | Michael ) Simon 99 15 60 Broad Street 97 17 160 Broad Street 97 17 16004 ,  | SECURITIES AND EXCHANGE COMMISSION RECEIVED |
| 7.  | Provide the date applicant's fiscal year ends:  December 31, 2016   | COMMIS                                      |
| 8.  | Indicate legal status of applicant:   Corporation  Sole Proprietorship  Partnership  Other (specify):   | NOIS  |
|   | If other than a sole proprietor, indicate the date and place where applicant obtained its legal status (e.g. state where incorporated, place where partnership agreement was filed or where applicant entity was formed):  (a) Date (MM/DD/YY): (b) State/Country of formation:   |   |
|   | Delaware Luc He O. Dele Sacho   | 718-101                                     |
| Exc<br>app<br>swo<br>app<br>and<br>Dat<br>By: | (Signature)  (Month)  (Year)  (Notary Public)  (Notary Public) | iiD   |
|   | Notary Public - State of New York  NO. 02FE5082165  Qualified in New York Corinty  My Commission Expires  |   |



May 16, 2016

VIA FEDERAL EXPRESS

Chris Grobbel
U.S. Securities and Exchange Commission
Division of Market Regulation
Office of Market Supervision
100 F Street NE
Mail Stop 6628
Washington, DC 20549

Re:

ISE Mercury, LLC

SEC Rule 6a-2 and 6a-3 Materials

Dear Mr. Grobbel:

Pursuant to Rule 6a-2(a) of the Securities Exchange Act of 1934, this is an update of the ISE Mercury, LLC's ("ISE Mercury" or "Exchange") Form 1.

In addition, pursuant to Rule 6a-3(a), within the last 10 days, ISE Mercury issued or has made available certain material to its members, participants or subscribers. The material can be found at the following locations on ISE Mercury's website:

#### **Options**

New Listings:

http://ise.com/newlistings

Delistings:

http://ise.com/delistings

Expiration Notices:

http://ise.com/expirationnotices

Series Additions/Deletions:

http://ise.com/serieslist

Market Information Circulars:

http://ise.com/mics

## **Index Options**

Recent Index Changes:

http://ise.com/indexchanges

Index Settlement Values:

http://ise.com/indexsettlement

## Legal & Regulatory

Regulatory Information Circulars:

http://www.ise.com/rics

Rules.

http://www.ise.com/rules

Mail Processing Section May 17 2018

SEC

Washington DC 412

SECURITIES AND EXCHANGE COMMISSION



# **Marketing Material**

Press Releases:

www.ise.com/press

Publications:

http://www.ise.com/publications

## Corporate

**Board Members:** 

http://www.ise.com/about-ise/board-of-directors/

Constitution:

http://www.ise.com/assets/mercury/files/about\_ise/corporate\_governance/Constitution\_of\_ISE\_Mercury\_LLC.PDF

LLC Agreement:

http://www.ise.com/assets/mercury/files/about\_ise/corporate\_governance/Mercury\_LLC\_Agreement.pdf

Exchange Officers:

http://www.ise.com/about-ise/management-team/

#### Members

List of ISE Mercury Members

http://www.ise.com/options/membership/exchange-members/

# Transactional Volume

Volume Report

http://www.ise.com/options/exchange-data/

If you have any questions on these materials, please do not hesitate to contact me at (212) 897-8152.

Sincerely

Ronan Cahill

Senior Legal & Regulatory Associate