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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

**FORM 19b-4(e)**

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

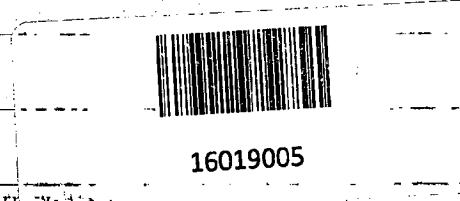
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**Part I**

**Initial Listing Report**

JUL 21 2016

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
Bats BYX Exchange, Inc. Washington DC  
412
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
See Attached.
- Class of New Derivative Securities Product:  
See Attached.
- Name of Underlying Instrument:  
See Attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
See Attached.
- Ticker Symbol(s) of New Derivative Securities Product:  
See Attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument  
Trades: See Attached.
- Settlement Methodology of New Derivative Securities Product:  
Trades Locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):  
Not Applicable.



**Part II**

**Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: SVP, Associate General Counsel

Telephone Number: 913-815-7154

Manual Signature of Official Responsible for Form:

Date: July 20, 2016

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)

Public Availability: JUL 21 2016

ATTACHMENT TO FORM 19b-4(e)  
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS BYX EXCHANGE, INC.  
 COMMENCING ON JULY 15, 2016

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
KRMA	Trust	Investment Company Units	Cambria Emerging Shareholder Yield Index	Global	Broad
REML	Trust	Investment Company Units	Concinnity Conscious Companies Index	Global	Broad
LSVX	Corp	Index Linked Notes	FTSE NAREIT All Mortgage Capped Index	U.S.	Broad
BSWN	Corp	Index Linked Notes	S&P 500 VIX Futures Variable Long/Short Index – Short Term	U.S.	Broad
XIVH	Corp	Index Linked Notes	S&P 500 VIX Futures Tail Risk Index – Short Term	U.S.	Broad
ESG	Corp	Index Linked Notes	S&P 500 VIX Futures Short Volatility Hedged Index	U.S.	Broad
ESGG	Trust	Investment Company Units	STOXX USA ESG Impact Index	U.S.	Broad
DWLV	Trust	Investment Company Units	STOXX Global ESG Impact Index	Global	Broad
IGEM	Trust	Investment Company Units	Dorsey Wright Multi-Factor Global Equity Index	U.S.	Broad



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July 20, 2016

Ms. Gail Jackson  
Mail Stop 6628  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

RE: Form 19b-4(e) - Bats BYX Exchange, Inc.

Ms. Jackson,

On behalf of Bats BYX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding nine (9) derivative securities products that commenced trading on Bats BYX Exchange on July 15, 2016. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon  
SVP, Associate General Counsel  
913.815.7154

Act	Securities Exchange Act of 1933
Section	19b-4
Rule	19b-4(e)
Public Availability:	JUL 21 2016