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Washington, D.C. 20549

JUL 1 1 2016

FORM 19b-4(e) Washington DC Information Required of a Self-Regulatory Organization Listing, and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: National Stock Exchange, Inc. 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Class of New Derivative Securities Product: 3. Equity 4. Name of Underlying Instrument: RiverFront Dynamic Core Income ETF 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-Based Ticker Symbol(s) of New Derivative Securities Product: $\langle \cdot \rangle$ 6. 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Domestic Stock Exchange Settlement Methodology of New Derivative Securities Product: 8. Cash 9. Position Limits of New Derivative Securities Product (if applicable): 16018963 Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: James Buckley Title: Chief Regulatory Officer Telephone Number: 201-499-3698 Manual Signature of Official Responsible for Form: Securities Exchange Act of 1934 Act Date July 8, 2016 195-4 Section 196-4(e) Rule SEC 2449 (6-01)

Public

Availability:

JUL 1 1 2016



James G. Buckley Chief Regulatory Officer t: 201. 499.3698 f: 201. 499.0727 james.buckley@nsx.com

## **VIA FED EX**

July 8, 2016

Ms. Gail Jackson Division of Trading and Markets Securities and Exchange Commission 100 F Street N.E. Washington, DC 20549

SEC Mail Processing Section JUL 11 2016 Washington DC

412

Re: Securities Exchange Act Forms 19b-4(e)

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) under the Securities Exchange Act of 1934, enclosed please find one original and nine copies of completed Forms 19b-4(e), executed on behalf of the National Stock Exchange, Inc. (the "Exchange") for each of the following securities which were recently approved for trading on the Exchange:

- 1) FLQH
- 2) FLOE
- 3) FLQD
- 4) FLOG
- 5) RFDA
- RFFC
- 7) SPDN
- 8) FITS
- 9) OLD
- 10) SLIM
- 11) ORG
- **12) BEMO**
- 13) EMIH 14) **HYIH**
- 15) IGIH
- **16) ESGS**
- 17) **ESGN** 18) **ESGW**
- 19) RFEM

Act	Securities Limitange Act of 1934	
Section	195-4	
Rule	19b-4(c)	
Public Availability.	JUL 1 1 2016	
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Ms. Gail Jackson July 8, 2016 Page 2

- 20) CNYA
- 21) **RFUN**
- 22) RFCI
- 23) **HYDD**
- **24) FALN**
- 25) HYXE
- 26) OILU
- 27) OILD
- 28) TALL
- 29) ESGD
- 30) ESGE
- 31) XTH
- 32) XWEB
- **33) DESC**
- 34) OEW

Please contact me at (201) 499-3698 if you have any questions.

Very truly yours,

James G. Buckley

Chief Regulatory Officer

**Enclosures**