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OMB Number: 3235-0504  
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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

SEC

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

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Part I

Initial Listing Report

JUL 08 2016

- Name of Self-Regulatory Organization Listing New Derivative Securities Product: Washington DC  
Chicago Stock Exchange, Inc. 412
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust
- Class of New Derivative Securities Product:  
Ownership of the Trust
- Name of Underlying Instrument:  
AdvisorShares Cornerstone Small Cap ETF 16018950
- ~~The underlying instrument is an index. State whether it is broad-based or narrow-based.~~  
Broad-Based
- Ticker Symbol(s) of New Derivative Securities Product:  
SCAP
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Various
- Settlement Methodology of New Derivative Securities Product:  
See Prospectus
- Position Limits of New Derivative Securities Product (if applicable):  
See Prospectus



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Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
Michael J. Cardin

Title: Director  
Member Regulation Department

Telephone Number:  
312-663-2204

Manual Signature of Official Responsible for Form:  
*Michael Cardin*

Date:  
July 07, 2016

Act  
Section 19b-4  
Rule 19b-4(e)  
Public Availability: JUL 08 2016



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JUL 08 2016

Washington DC  
412

July 7, 2016

**By UPS**

Claudette Ransom  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street NE – Stop 7010  
Washington, DC 20549

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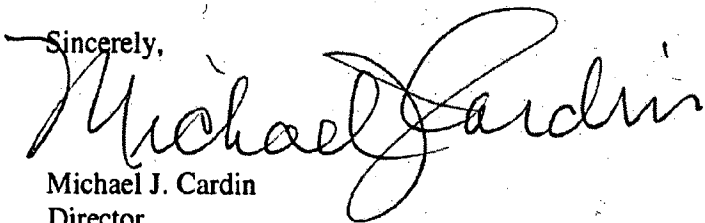
**Re: Form 19b-4(e) for exchange traded product**

Dear Ms. Ransom:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

SCAP	AdvisorShares Cornerstone Small Cap ETF
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If you have any questions about this filing, please contact the undersigned at (312) 663-2204 or Kevin Curtin at (312) 663-2252.

Sincerely,  
  
Michael J. Cardin  
Director  
Member Regulation Department

Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JUL 08 2016