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UNITED STATES SEC
SECURITIES AND EXCHANGE COMMISSIONCESSING
Washington, D.C. 20549 Section

FORM 19b-4(e)JUN 24 2016

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

## Part I

## **Initial Listing Report**

- Name of Self-Regulatory Organization Listing New Derivative Securities Product: The NASDAQ Stock Market LLC (traded pursuant to unlisted trading privileges)
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

  Open End Management Investment Company
- 3. Class of New Derivative Securities Product:

Exchange Traded Fund

4. Name of Underlying Instrument:

MSCI China A International Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

Broad-based

16018919

- 6. Ticker Symbol(s) of New Derivative Securities Product: CNYA
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: Shanghai Stock Exchange, Shenzhen
- 8. Position Limits of New Derivative Securities Product (if applicable):

Regular way trades settle on T + 3 (cash settled)

Position Limits of New Derivative Securities Product (if applicable):
 N/A

Part II

## Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Colleen Steele

Title:

**Assistant Corporate Secretary** 

Telephone Number:

301-978-8736

Manual Signature of Official Responsible for Form

Date: June 20, 2016

Securities Exchange Act of 1934

Section 19b-4 Rule 19b-4(e)

Public

Availability:

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