SEC

For Internal Use RECEIVED Only

Submit 1 Original and 9 Copies

Mail Processing Section

412

OMB APPROVAL OMB Number: 3235-0504

Sec File No. 97 JUN 26 PM 3: 42

JUN 24 2016

· UNITED STATES SEC / TM SECURITIES AND EXCHANGE COMMISSION DC

Washington, D.C. 20549

Expires: August 31, 2010 Estimated average burden hours per response.....3.60

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

### READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

#### Part I

# **Initial Listing Report**

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges)
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open End Management Investment Company**
- 3. Class of New Derivative Securities Product:

**Exchange Traded Fund** 

4. Name of Underlying Instrument: Markit iBoxx USD Liquid High Yield ex-Oil and Gas Index



- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Ba Broad-based
- 6. Ticker Symbol(s) of New Derivative Securities Product:
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NYSE, OTC
- 8. Position Limits of New Derivative Securities Product (if applicable):
- Regular way trades settle on T + 3 (cash settled) 9. Position Limits of New Derivative Securities Product (if applicable):
- N/A

## Part II

#### Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Colleen Steele

Title:

**Assistant Corporate Secretary** 

Telephone Number:

301-978-8736

Manual Signature of Official Responsible for Form

Date: June 20, 2016

Act

Securities Exchange Act of 1934

Section

Rule

19b-4 19b-4(e)

Public

JUN 2 4 2016 Availability:

SEC 2449 (6-01)