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OMB APPROVAL OMB Number: 3235-0504

Expires: August 31, 2010 Estimated average burden hours per response.....3.60

2016 JUN 26 PM 3: 43 SEC / TM

UNITED STATES Mail Processing SECURITIES AND EXCHANGE COMMISSION JUN 2 4 2016 Washington, D.C. 20549

FORM 19b-4(e) Washington DC

Information Required of a Self-Regulatory Organization Listin 2 and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

#### READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

## Part I

## **Initial Listing Report**

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges)
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open End Management Investment Company**
- 3. Class of New Derivative Securities Product:

**Exchange Traded Fund** 

4. Name of Underlying Instrument:

Beta Advantage Sustainable International Equity Income 100 Index



16018911

- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based **Broad-based**
- 6. Ticker Symbol(s) of New Derivative Securities Product:
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

Listed on: Australian Stock Exchange (ASX), Borsa Italiana, Deutsche Borse, Euronext Amsterdam, Euronext Brussels, Euronext Paris, Hong Kong, London Stock Exchange, Mercado Continuo Espanol, OMX Nordic Exchange, Copenhagen, OMX Nordic Exchange, Helsinki, OMX Nordic Exchange, Stockholm, Oslo Stock Exchange, SWS Swiss, Tel Aviv Stock Exchange, Tokyo Stock Exchange, Toronto

- 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled)
- 9. Position Limits of New Derivative Securities Product (if applicable): N/A

# Part II

#### Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Colleen Steele

Title:

**Assistant Corporate Secretary** 

Telephone Number:

301-978-8736

Manual Signature of Official Responsible for Forma

Date: June 20, 2016

Act

Securities Exchange Act of 1934

Section

190-3

Rule

19b-4(e)

Public

JUN 2 4 2016 Availability:

SEC 2449 (6-01)