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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB Number: 3235-0504  
Expires: August 31, 2013  
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hours per response..... 3.60

**FORM 19b-4(e)**

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

SEC

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Mail Processing

Section

Part I

Initial Listing Report

JUN 15 2016

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
**Chicago Stock Exchange, Inc.** Washington DC
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
**Trust** 412
- Class of New Derivative Securities Product:  
**Ownership of the Trust**
- Name of Underlying Instrument:  
**Columbia Sustainable Global Equity Income ETF**
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
**Narrow-Based**
- Ticker Symbol(s) of New Derivative Securities Product:  
**ESGW**
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
**Various**
- Settlement Methodology of New Derivative Securities Product:  
**See Prospectus**
- Position Limits of New Derivative Securities Product (if applicable):  
**See Prospectus**



Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

**Michael J. Cardin**

Title:

**Director  
Member Regulation Department**

Telephone Number:

**312-663-2204**

Manual Signature of Official Responsible for Form:

*Michael J. Cardin*

Date:

**June 14, 2016**

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(c)

Public Availability: JUN 15 2016



CHICAGO  
STOCK  
EXCHANGE

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June 14, 2016

**By UPS**

Ms. Gayle S. Jackson  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street NE – Stop 7010  
Washington, DC 20549

SEC  
Mail Processing  
Section  
JUN 15 2016  
Washington DC  
412

**Re: Form 19b-4(e) for exchange traded product**

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

ESGS	Columbia Sustainable U.S. Equity Income ETF
ESGN	Columbia Sustainable U.S. International Equity Income ETF
ESGW	Columbia Sustainable U.S. Global Equity Income ETF

If you have any questions about this filing, please contact the undersigned at (312) 663-2204 or Kevin Curtin at (312) 663-2252.

Sincerely,

Michael J. Cardin  
Director  
Member Regulation Department

Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability	JUN 15 2016